

**STATUS OF OIG DOD REPORTS MORE THAN 12 MONTHS OLD
WITH FINAL ACTION PENDING ^{1, 2}
(As of September 30, 2004)**

Report Number/Title/Date	Description of Action	Reason Action Not Completed	Principle Action Office
91-055 , Pricing and Billing of Stinger Missiles Sold to Foreign Military Sales (FMS) Customers, 2/27/1991	Revision to Volume 15 of the Financial Management Regulation (FMR) on the calculation of packing, crating and handling (PCH) costs for FMS.	Lack of management emphasis.	USD(C)
93-163 , Procurement Prices Paid on Aircraft Weapon Systems for Foreign Military Sales, 9/2/1993	Revise the Financial Management Regulation to clarify the special provisions for Research, Development, Test & Evaluation.	Lack of management emphasis.	USD(C)
94-062 , Financial Status of Air Force Expired Year Appropriations, 3/18/1994	Changes to policy guidance to include refunds receivable arising from matters in litigation.	Lack of management emphasis.	USD(C)
95-238 , Administrative Lead Time at DoD Inventory Control Points, 6/15/1995	Automate methodology for determining administrative lead time in the Purchase Request Process System.	Delays in decision to continue with development of new system and funding.	AF
96-029 , Inspection of the Department of Defense Joint Manpower Process, 11/29/1995	Issue joint regulation on manpower management.	Delays in coordination.	USD(P&R)
96-156 , Implementation of the DoD Plan to Match Disbursement to Obligations Prior to Payment, 6/11/1996	Implement system changes to correct weaknesses in the automated prevalidation process.	Competing management priorities.	DFAS
97-014 , Controls Over the Return of Repairable Assets, 11/1/1996	Establishment of standard reporting procedures for contractors to report receipt transactions for repairable assets.	Corrective actions are on target.	Army

¹ Fulfills requirements of the Inspector General Act of 1978, as amended, 5 U.S.C., Appendix 3, Section 5(b)(4).

² For this reporting period, there are no disallowed costs on reports over 12 months old with final action pending. Report No. D-2003-064 had \$30.2 million of funds to be put to better use that have been agreed upon, but have not yet been achieved.

97-100 , Asset Presentation on Military Department General Fund Financial Statements, 2/25/1997	Clarify accounting guidance for the reporting of progress payments to ensure consistent presentation by the DFAS Centers on Military Departments General Fund financial statements and future DoD consolidated statements.	Lack of management emphasis.	USD(C)
97-112 , Air Mobility Command (AMC) Financial Reporting of Property, Plant, and Equipment (PP&E), 3/19/1997	AMC is to develop a methodology for keeping PP&E current and providing accurate and useful information to DFAS for preparation of financial statements.	Competing management priorities.	TRANSCOM, DFAS
97-134 , Disposal of Munitions List Items in the Possession of Defense Contractors, 4/22/1997	Change regulations to advance identification of munitions list items to the early stages of the acquisition process.	Delays in coordination.	USD(AT&L), DLA
97-138 , Requirements Planning and Impact on Readiness of Training Simulators and Devices, 4/30/1997	Revision of DoD Directive 1430.13 and other policy to evaluate the effectiveness of simulation training. Results of Analysis of Alternatives done last year will shape policies needed for training, which will be incorporated into the directive.	Delays in coordination/signature process of DoD Directives.	USD(P&R)
97-187 , Communications Capability Within the DoD to Support Two Major Regional Conflicts Nearly Simultaneously, 7/14/1997	Revise the Joint Operation and Planning and Execution System to include command and control and non-command and control communications requirements.	Delays in rewriting overarching doctrine due to Secretary of Defense directed changes in the war planning process.	JS
97-213 , Evaluation of the Disposal of Munitions Items, 9/7/1997	Develop standard DoD-wide guidance for the entire munitions scrap disposal process. Conduct compliance inspections/risk assessments at key bases.	Delays in coordinating and issuing policy.	USD(AT&L)
97-227 , Foreign Military Sales Administrative Surcharge Fund, 9/30/1997	Revise FMR Vol 15 regarding allocation of administrative surcharges.	Competing management priorities.	USD(C)

98-010 , Special Access-Like Programs, 10/17/1997	Special Operations Command/JS implementation of security changes and issuance of a JS manual.	Additional requirements and extensive time to conduct legal review.	JS
98-022 , Reporting of Contract Holdbacks on the DoD Financial Statements, 11/17/1997	Develop guidance regarding presentation of holdbacks on interim progress payments in the financial statements and publish that guidance in the FMR.	Lack of management emphasis.	USD(C)
98-049 , DoD Sensitive Support Focal Point System, 1/20/1998	USD(P) issuance of the revised DoD Directive S-5210, "DoD Sensitive Support System" for policy clarification.	Delays caused by reorganization and attendant decision on proponent for program.	USD(I)
98-052 , Defense Logistics Agency Past Due Federal Accounts Receivable, 1/22/1998	Issue accounting and billing policy for requisitions under the Shelter for the Homeless Program.	Lack of management emphasis.	USD(C)
98-063 , Defense Logistics Agency Product Quality Deficiency Program, 2/5/1998	Revisions to DLA Instruction 4155.24, "Quality Assurance Program for DLA Inventory Control Points."	Delays in coordinating and issuing policy.	DLA
98-067 , Access Reciprocity Between DoD Special Access Programs, 2/10/1998	Standardize Special Access Program (SAP) eligibility implementing criteria and develop a centralized SAP database.	Competing management priorities; however, some corrective actions were outside DoD's span of control. Transformation of the personnel security program is underway.	USD(I), Army, Navy, AF
98-100 , Fund Balance With Treasury Account in the FY 1996 Financial Statements of the Defense Business Operations Fund, 4/2/1998	Issue Standard Operating Procedures (SOPs) to the DFAS centers for reporting undistributed balances in the monthly accounting report 1307.	Revisions on hold pending implementation of new automated reporting system and decision to revise or replace accounting report 1307.	DFAS
98-116 , Accounting for Defense Logistics Agency Supply Management Receivables, 4/20/1998	Revise procedures for handling accounts receivable. Implement standard general ledger in accounting systems.	Competing management priorities.	DFAS

<p>98-124, Department of Defense Adjudication Program, 4/27/1998</p>	<p>Standardize forms; implement peer review program and professional certification for adjudicators; and improve use of Defense Clearance Investigation Index.</p>	<p>Competing management priorities. Transformation of the personnel security program is underway.</p>	<p>USD(I)</p>
<p>98-139, Financial Statement Presentation of DoD Progress Payments, 5/27/1998</p>	<p>Clarify accounting guidance for the reporting of progress payments to ensure consistent presentation by the DFAS Centers on Military Departments General Fund financial statements and future DoD consolidated statements.</p>	<p>Lack of management emphasis.</p>	<p>USD(C)</p>
<p>98-170, Army National Guard and U.S. Army Reserve Command Small Arms Indoor Firing Ranges, 6/30/1998</p>	<p>Revise and issue NGB Regulation 385-63 and NGB Pamphlet 385-63 to include standards for testing, cleaning, and decontaminating indoor ranges.</p>	<p>Guidance delayed due to personnel issues.</p>	<p>Army</p>
<p>99-009, Coordination of Electromagnetic Frequency Spectrum and International Telecommunications Agreements, 10/9/1998</p>	<p>Ensure coordination of communications systems with host nations and implement centralized management and oversight of international telecommunications agreements (ITAs). JS will publish a message to remind commands of their requirements to ensure implementation of common repository and database on ITAs.</p>	<p>Delayed implementation of updated policy.</p>	<p>JS</p>
<p>99-075, Acquisition of the SH-60R Light Airborne Multipurpose System Mark III Block II Upgrade, 2/2/1999</p>	<p>Develop and insert alternative plan for live fire test in the test and evaluation master plan, revise the operational requirements document and prepare the command, control, communications, computers, and intelligence support plan.</p>	<p>Normal time for development and approval process for support plan.</p>	<p>Navy</p>
<p>99-078, Outsourcing of Defense Commissary Agency Operations, 2/5/1999</p>	<p>Perform an outsourcing study for accounting positions.</p>	<p>Extensive time needed to schedule and conduct an outsourcing study.</p>	<p>DeCA</p>

99-102 , Chemical and Biological Warfare Defense Resources in the U.S. European Command (U), 3/4/1999	Report is classified.	Competing management priorities.	EUCOM, Army
99-159 , Interservice Availability of Multiservice Used Items, 5/14/1999	Revise Joint Service Regulation to require consistent item management wherever economical and safe. Task the Services to provide training on disposal authority for multi-service used items and the requirement to communicate excess assets quantities to the primary inventory control activity item manager.	Delays have been experienced in coordinating and issuing policy.	Army
99-186 , DoD Export Licensing Processes for Dual-Use Commodities and Munitions, 6/18/1999	Implement guidance and database initiatives in support of the licensing process for dual-use commodities and munitions.	Competing management priorities.	USD(P)
99-191 , Compilation of the FY 1998 Financial Statements for Other Defense Organizations, 6/24/1999	Explain material abnormal balances reported on the financial statements and disclose and explain in the financial statement notes, material abnormal balances included in the normal balances.	Competing management priorities.	DFAS
99-192 , Depot Maintenance Capacity and Utilization Measurement, 6/23/1999	AF developing capacity instruction manual and revising performance plans for maintenance personnel.	Extensive time needed to coordinate and publish policy, exacerbated by anticipation of changes to DoD directions.	AF
99-200 , Management Controls Over Defense Logistics Agency System Design Center Conference Funds, 7/2/1999	Follow established Federal and DoD guidance when planning and conducting training conferences; review, revise, and reiterate DLA guidance on training conferences.	Policy delayed due to coordination with the Offices of General Counsel and Financial Operations.	DLA

99-237 , Internal Controls and Compliance with Laws and Regulations for the FY 1998 Financial Statements of the National Reconnaissance Office, 8/23/1999	Increase the accuracy and reliability of financial statement information.	Competing management priorities.	NRO
99-250 , Construction and Rehabilitation of Reserve Component, 9/13/1999	Improve oversight and management of indoor range construction and rehabilitation process.	Delays in coordinating and issuing policy.	Army, NGB
00-005 , Information Assurances for the Joint Total Asset Visibility System, 10/8/1999	Implement improved information assurance controls for the Joint Total Asset Visibility System.	Delays in completing DLA acquisition/life-cycle management documentation.	DLA
D-2000-075 , Administration and Management of Civil Air Patrol, 2/15/2000	Improve administration and management of the Civil Air Patrol Program nonexpendable equipment items. Update regulations and Statement of Work to establish roles, responsibilities, policies and procedures. Implement new asset management software system.	Extensive time needed to coordinate and issue policy, deliver computers to field and arrange local internet service providers as needed.	CAP, AF
D-2000-100 , Contracts for Professional, Administrative, and Management Support Services, 3/10/2000	Establish centers of excellence for contracting for services.	Navy Center delayed due to a establishment of a new Acquisition Center of Excellence (ACE) by Office of Federal Procurement Policy.	Navy
D-2000-110 , Export Licensing at DoD Research Facilities, 3/24/2000	Improve guidance regarding the determination of the need for "deemed" export licenses in the event of foreign national visits to or assignments to DoD research facilities.	Delays in coordinating and issuing policy.	USD(P), USD(AT&L)
D-2000-111 , Security Clearance Investigative Priorities, 4/5/2000	Establishment of timeframes to expedite investigative priorities.	Corrective action delayed by the transfer of the personnel security investigative function from DSS to OPM. Transformation of the personnel security program is underway.	USD(I), DSS

D-2000-128 , Defense Health Program (DHP) Financial Reporting of General, Property, Plant, and Equipment (PP&E), 5/22/2000	Accumulate and maintain DHP software development costs and accumulate amortization costs. Ensure that software development costs are moved to the Tricare Management Activity PP&E account for inclusion in Other Defense Organizations' financial statements.	Awaiting issuance of additional guidance and implementation of the DoD Financial Management Enterprise Architecture.	ASD(HA)
D-2000-130 , Foreign National Access to Automated Information Systems (AIS), 5/26/2000	Update existing guidance to provide adequate procedures for authorizing and controlling access by foreign nationals to information available on AISs and Local area networks.	Delays in coordinating and issuing policy.	Navy
D-2000-133 , Defense Logistics Agency FY 1999 Property, Plant, and Equipment Financial Reporting, 5/30/2000	DLA work with DFAS and the Defense Logistics Support Center to reconcile differences between the Defense Property and Accounting System and the Defense Business Management System.	Complex approach for addressing the reporting discrepancies.	DLA
D-2000-134 , Tracking Security Clearance Requests, 5/30/2000	The current database will be modified to retain all pertinent historical information (including dates/times for every occurrence -- e.g., deletions, case type, changes, cancellations, duplicates, conversions, reinstatements, etc.) This effort will take time and must be prioritized against other projected improvements to the Case Control Management System.	Extensive time/resources needed to modify an automated system. Transformation of the personnel security program is underway.	DSS
D-2000-135 , Protection of the M1A2 Tank 2000 Against Radio Frequency Weapons, 5/31/2000	System testing.	Awaiting completion of test reports.	Army
D-2000-139 , Controls Over the Integrated Accounts Payable System, 6/5/2000	Guidance at all levels is to be revised to improve the adequacy of supporting documentation for vendor payments.	Awaiting approval of a systems change request.	USD(C), DFAS

<p>D-2000-140, Compilation of the FY 1999 Department of the Navy Working Capital Fund Financial Statements, 6/7/2000</p>	<p>DFAS has initiated the Business Management Redesign to better integrate financial and business management data. DFAS is also working with the Navy to reconcile inventory-related general ledger account balances to supporting records. The USD(C) is to address the classification and policy for inventory and related assets in the FMR, Volume 11B.</p>	<p>Delays in coordinating and issuing policy, and system changes take considerable time to be implemented.</p>	<p>USD(C), DFAS</p>
<p>D-2000-153, Compilation of the FY 1999 Financial Statements for Other Defense Organizations (ODO) - General Funds, 6/23/2000</p>	<p>DFAS is implementing procedures to remove duplicate and abnormal balances. Any remaining abnormal balances are to be accompanied by footnotes that fully disclose the causes for these balances. DFAS is documenting the processes used to compile the ODO financial statements.</p>	<p>Although improvements have been made, completion of actions await implementation of the DoD Financial Management Enterprise Architecture.</p>	<p>DFAS</p>
<p>D-2000-170, Disposal of Range Residue, 8/4/2000</p>	<p>Improve policies and procedures to dispose of expended munitions and prevent public access to live ammunition and other dangerous articles.</p>	<p>Coordination issues continue to delay finalization of policy.</p>	<p>USD(AT&L)</p>
<p>D-2000-177, Revaluation of Inventory for the FY 1999 Department of the Navy Working Capital Fund Financial Statements, 8/18/2000</p>	<p>USD(C) evaluating policy and systems changes to implement and support a latest acquisition cost valuation method and a direct cost historical valuation method. These would be long-term solutions for improving the financial presentation of net inventory.</p>	<p>Long-term corrective action on target.</p>	<p>USD(C)</p>
<p>D-2000-188, Contract Management for the National Defense Center for Environmental Excellence, 2/14/2000</p>	<p>DCMA is to implement DCAA recommendations and ensure the contractor adjusts its rates accordingly.</p>	<p>Extensive time needed to receive and audit contractor cost proposals and negotiate rates.</p>	<p>DCMA</p>

<p>D-2000-193, Administration and Management of the Civil Air Patrol-Phase II, 9/27/2000</p>	<p>Civil Air Patrol (CAP) is revising regulations, an internal audit plan, and internal policies and procedures to improve administration and management of the CAP. CAP is also developing a national database that shows currency of active pilots.</p>	<p>Delays in coordinating and issuing policy.</p>	<p>CAP</p>
<p>D-2001-007, Foreign National Security Controls at DoD Research Laboratories, 10/27/2000</p>	<p>Revise SECNAV Instruction 5510.34, to include requirements for Government facilities being visited by foreign nationals to disseminate foreign disclosure restrictions contained in visit authorization letters to the proposed hosts of the visit.</p>	<p>Delays experienced in coordinating and issuing policy guidance.</p>	<p>Navy</p>
<p>D-2001-016, Security Controls Over Contractor Support for Year 2000 Renovation, 12/12/2000</p>	<p>Navy and DISA will assess the potential risks to the security baseline requirements for renovated systems for which risk assessments are lacking and accredit or reaccredit renovated systems in accordance with DoD guidance.</p>	<p>Personnel reductions have delayed implementation of corrective actions.</p>	<p>Navy, DISA</p>
<p>D-2001-018, Management and Oversight of the DoD Weather Program, 12/14/2000</p>	<p>Propose changes to DoD Directive. Services evaluate data requirements and update policy.</p>	<p>Delays in coordinating and issuing policy.</p>	<p>Army, Navy, ASD(NII)</p>
<p>D-2001-025, Summary Report on DoD Hazardous Waste Disposal Costs, 12/22/2000</p>	<p>Issue uniform policy to components for preparing environmental compliance and recurring costs estimates and include hazardous waste disposal definition consistent with the established measure of merit.</p>	<p>Delays experienced in coordinating and issuing policy.</p>	<p>USD(AT&L)</p>

<p>D-2001-032, Use of Extra Criteria for Major Defense Systems, 1/10/2001</p>	<p>Develop exit criteria for the Multi-functional Information Distribution System-Low Volume Terminal and Virginia class submarine. Also, revise the consolidated Acquisition Reporting System instructions to include a requirement to use exit criteria as an indicator.</p>	<p>Awaiting implementation of new electronic DoD Acquisition Guidebook.</p>	<p>USD(AT&L)</p>
<p>D-2001-035, Management of Potentially Inactive Items at the Defense Logistics Agency, 1/24/2001</p>	<p>Perform study to separate costs of maintaining inactive items from active items. Depending on results, DLA may examine viability of the Defense Inactive Item Program as a cost effective and beneficial program to DoD.</p>	<p>Upgrading of the SAMMS computer systems requires a major data cleansing effort.</p>	<p>DLA</p>
<p>D-2001-037, Collection and Reporting of Patient Safety Data Within the Military Health System, 1/29/2001</p>	<p>Develop, test and deploy Patient Safety Reporting Program.</p>	<p>Additional time is required for identification of a new system and for training personnel in the use of the new software.</p>	<p>ASD(HA)</p>
<p>D-2001-044, Accreditation Policies and Information Technology Control at the Enterprise Center Mechanicsburg, 2/9/2001</p>	<p>Update DISA Instruction 630-230-19, "Automated Data Processing, Information Systems Security Program," July 1996 to establish and implement specific guidance related to the reaccreditations of sites.</p>	<p>Delays experienced in coordinating and issuing policy.</p>	<p>DISA</p>
<p>D-2001-049, Abnormal General Ledger Account Balances for Other Defense Organizations Reported by DFAS Cleveland, 2/13/2001</p>	<p>Research and correct the Treasury Index on the Cash Management Report and associated Cumulative Error Listing. Generate hard copy journal vouchers for future error corrections in the Standard Accounting and Reporting System - Headquarters Claimant Module.</p>	<p>Competing priorities initially. Corrective actions now on target.</p>	<p>DFAS</p>

D-2001-059 , Armed Service Blood Program Readiness, 2/23/2001	Actions are underway to improve the Defense Blood Standard System (DBSS) to ensure that the system meets all user and mission needs, ensures asset accountability and inventory accuracy. Also actions are underway to ensure consistent deployment and use of DBSS throughout DoD.	Extensive time needed to establish policy and implement other changes.	Army, Navy, AF
D-2001-065 , DoD Adjudication of Contractor Security Clearances Granted by the Defense Security Service, 2/28/2001	Identify and process additional adjudicative resources for Defense Industrial Security Clearance Office. The DSS academy to address the establishment of continuing education standards to facilitate the certification of professional adjudicators. Issue guidance on professional certification and continuous training program for all adjudicators.	Competing management priorities. Transformation of the personnel security program is underway.	DSS, USD(I)
D-2001-071 , Navy Financial Reporting of Government-Owned Material Held by Commercial Shipyard Contracts, 3/2/2001	Revise the Defense FAR Supplement (DFARS) to include the updated DoD property accountability procedures.	Actions redirected by Financial Management Enterprise Architecture are now in process.	USD(AT&L)
D-2001-072 , Industrial Prime Vendor Program at the Naval Aviation Depot North Island, 3/5/2001	Establish a plan for how industry will support bench stock material requirements and determine whether the industrial prime vendor program should continue.	Additional time was needed to refine the support concept.	DLA
D-2001-074 , Cooperative Threat Reduction Program, 3/9/2001	Monitor the use of revenue generated from U.S. funded activities in Russia and the Ukraine.	Assessment of an untried technological approach and lengthy negotiations with foreign-based entities.	USD(P)

<p>D-2001-075, Standard Procurement System Use and User Satisfaction, 3/13/2001</p>	<p>Establish a working group to develop performance measures that address Standard Procurement System mission goals and functionality requirements. AT&L is beginning efforts to determine the best strategy and alternatives for meeting requirements of the last identified block of the Standard Procurement System.</p>	<p>Awaiting completion of initial capabilities documents prior to approval to proceed with analysis of alternatives.</p>	<p>USD(AT&L)</p>
<p>D-2001-081, Financial Reporting at the Washington Headquarters Services, 3/15/2001</p>	<p>Modify the Washington Headquarters Services Allotment Accounting System to correctly post prior period adjustments. Also, develop query interfaces for each general ledger account that can be used to research detailed transactions supporting account balances.</p>	<p>Delays due to deficiencies in the hardware configuration. The system is being upgraded.</p>	<p>WHS</p>
<p>D-2001-085, 2000 DoD Financial Management Improvement Plan, 3/19/2001</p>	<p>The Department will establish a joint defense financial management working group, which should identify each financial management position by component, series, skill, requirements, and grade level; and identify the appropriate training required, and combine the requirements into the Department-wide FM training plan.</p>	<p>Long-term corrective action on target.</p>	<p>USD(C)</p>
<p>D-2001-088, DoD Involvement in the Review and Revision of the Commerce Control List (CCL) and the U.S. Munitions List, 3/23/2001</p>	<p>Work with Commerce to facilitate periodic interagency reviews of the CCL, including the appropriate treatment for any items currently controlled unilaterally by the US, and whether any of the countries to which controls apply should be removed from the Commerce Country Chart.</p>	<p>The Department of Commerce has not cooperated in conducting discussions.</p>	<p>USD(P)</p>

D-2001-099 , Use of Contract Authority for Distribution Depots by the Defense Logistics Agency, 4/16/2001	Modify the FMR, volume 11B, to include procedures that require that all use of contract authority is adequately posted and liquidated in the DoD working capital fund accounting records at the activity group level.	Extensive time required for consideration of changes to financial policies.	USD(C)
D-2001-109 , DoD Payroll Withholding Data for FY 2000, 4/27/2001	Develop the capability to maintain, and query, historical payroll data.	Management stated that the recommended action was too costly. Alternative long term action is being taken.	DFAS
D-2001-111 , Acquisition of the Airborne Laser Mine Detection System, 5/2/2001	The Navy plans to review and revalidate the Airborne Laser Mine Detection System (ALMDS) Operational Requirements Document in the normal course of preparation for the planned FY 04 Milestone III decision.	HAC mark in Aug 2003 cut the ALMDS LRIP funding for FY 2004, causing a one-year delay in Milestone C decision and IOC.	Navy
D-2001-118 , Public/Private Competition at Lackland Air Force Base, 5/14/2001	A change to the DFARS Supplement will be initiated. The DoD A-76 will be updated. An interim guidance update will reflect DoD policy for legal support to the Most Efficient Organization Study Team.	Extensive time needed to develop, coordinate and issue policy not addressed by the Revised OMB Circular A-76.	USD(AT&L)
D-2001-121 , Use of the DoD Joint Technical Architecture in the Acquisition Process, 5/14/2001	Establish process to verify JTA standards in acquisition documents and incorporate additional language needed in DoD 5000 series guidance.	Delayed issuance of the Defense Acquisition Management Guidebook.	USD(AT&L)
D-2001-124 , U.S. Special Operations Command Use of Alternative or Compensatory Control Measures (U), 5/18/2001	Report is classified.	Delays in coordinating and issuing policy.	JS
D-2001-129 , Contracting Officer Determinations of Price Reasonableness When Cost or Pricing Data Were Not Obtained, 5/30/2001	Services and DLA implementing procedures to better assess price reasonableness and institute corrective actions for future contracts.	Components having difficulty validating manpower requirements.	Navy, AF, DLA

D-2001-131 , Items Excluded From the Defense Logistics Agency Defense Inactive Items Program, 5/31/2001	Deletion of obsolete National Stock Numbers (NSN) may result in monetary benefits.	Potential monetary benefits need to be determined.	DLA
D-2001-133 , Deliberate Planning for Meteorological and Oceanographic Operations (U), 6/1/2001	Report is classified.	EUCOM timelines for updating plans have been delayed.	EUCOM
D-2001-135 , Prevalidation of Intergovernmental Transactions, 6/6/2001	DFAS conducted a review of the prevalidation of intergovernmental transactions, including a new justification and approval process for system changes and the use of manual systems that are expensive and of limited effectiveness. Develop cost-effective automated methods to expand prevalidation.	Extensive time required for consideration of changes to financial policies.	DFAS
D-2001-136 , Defense Clearance and Investigations Index Database, 6/7/2001	Establish procedures to revise and maintain DCII user codes. Issue guidance to implement OPM policy on constructing pseudo social security numbers for foreign nationals require CAFs to determine the use of pseudo SSNs for payroll purposes and use these numbers in the DCII.	Additional time needed to develop and implement procedures. Transformation of the personnel security program is underway.	USD(I)
D-2001-141 , Allegations to the Defense Hotline on the Defense Security Assistance Management System, 6/19/2001	Amend DoD 5200.2-R to address security investigation requirements for foreign national contractor employees. Delay additional work until security investigations obtained by contractor employees and existing computer code is tested.	Transformation of the personnel security program is underway. Final independent code review delayed due to delay in completion of contract. DSCA assumed risk for work to continue.	ASD(NII), DSCA
D-2001-148 , Automated Transportation Payments, 6/22/2001	Issue policy to address information assurance requirements for commercial automated processes. Execute policy when promulgated.	Delays in issuing and implementing policy.	USD(AT&L), ASD(NII), USD C, AIR FORCE

<p>D-2001-153, Pentagon Reservation Maintenance Revolving Fund, 7/2/2001</p>	<p>Forms are to be developed to identify the appropriate construction costs to be used in transferring completed projects from the construction in progress account to the real property accounts.</p>	<p>Implementation has been delayed by higher management priorities.</p>	<p>WHS</p>
<p>D-2001-155, Compilation of the FY 2000 Navy Working Capital Fund Financial Statements, 7/3/2001</p>	<p>DFAS is working on a cash rebuild project that will reinstate the correct starting balances for cash as of December 1994. DFAS will maintain standard operating procedures and documentation to provide an audit trail, and maintain complete documentation and audit trails for budgetary information.</p>	<p>Long-term corrective action on target.</p>	<p>DFAS</p>
<p>D-2001-157, Global Command and Control System - Meteorological and Oceanographic Application, 7/11/2001</p>	<p>Designate executive agent for the joint meteorological and oceanographic application with responsibilities for ensuring that potential mission application software adequately satisfies requirements and meets acceptable standards before it goes through Global Command and Control System integration testing.</p>	<p>Delayed completion of Memorandum of Agreement by Air Force.</p>	<p>ASD(NII)</p>
<p>D-2001-158, Compilation of the FY 2000 Army General Fund Financial Statements at the Defense Finance and Accounting Service Indianapolis (Sustaining Forces), 7/13/2001</p>	<p>Management will establish an action plan to meet revised requirements for reconciling suspense accounts.</p>	<p>Long-term corrective action continues.</p>	<p>DFAS</p>

<p>D-2001-162, Accounting Entries Made in Compiling the FY 2000 Air Force General Financial Statement, 7/26/2001</p>	<p>New systems will automate computations and interface of external data sources and eliminate the Status of Funds journal vouchers (JV) that had inadequate documentation. DFAS was to utilize the Chief Financial Officers Reporting System "preview" capability and leave JV in "unapproved" status until management signatures are obtained.</p>	<p>Competing management priorities.</p>	<p>DFAS</p>
<p>D-2001-163, Accounting Entries Made in Compiling the FY 2000 Financial Statements of the Working Capital Funds of the Air Force and Other Defense Organizations, 7/26/2001</p>	<p>The Department adopted an approved historical cost method for the valuation of inventory, and will revise the FMR, Volume 11B upon completion of the working group's development of revised policies. The FMR, Volume 4, Chapter 3 will be revised to require the recoding of accounts receivable, for credits due when DoD working capital fund supply activities return inventory items because the items delivered do not conform to the purchase agreement or contract.</p>	<p>The DoD Financial Management Enterprise Architecture delayed corrective actions. However, corrective actions are now going forward.</p>	<p>USD(C)</p>
<p>D-2001-164, Implementation of a Cost-Accounting System for Visibility of Weapon Systems Life-Cycle Costs, 8/1/2001</p>	<p>USD(AT&L) and USD (C) will define and build a financial architecture that incorporates cost accounting requirements for weapon system life cycle costs. 4/5/04: USD(AT&L) closed; USD(C) remains open.</p>	<p>Complex accounting issues.</p>	<p>USD(C)</p>
<p>D-2001-165, Defense Department Reporting System - Audited Financial Statements, 8/3/2001</p>	<p>Modify the Audited Financial Statements module to identify any journal voucher where the approver normally does not have the proper approval level for the journal voucher.</p>	<p>Long-term corrective action on target.</p>	<p>DFAS</p>

<p>D-2001-169, U.S. Special Operating Command's Reporting of Real and Personal Property Assets on the FY 2000 DoD Agency-wide Financial Statements, 8/2/2001</p>	<p>A revision to FMR, Vol 4, Chapter 6 will include language to more clearly assist the DoD Components in identifying what organization should report specific property, equipment, and on reporting of automated processing equipment. SOCOM has started planning to baseline all reportable property, plant, and equipment, however, design of the infrastructure is dependent upon guidance issued by USD(C).</p>	<p>Extensive time required for consideration of changes to financial policies.</p>	<p>USD(C), SOCOM</p>
<p>D-2001-170, U.S. Transportation Command's Reporting of Property, Plant, and Equipment Assets on the FY 2000 DoD Agency-wide Financial Statements, 8/3/2001</p>	<p>Develop system changes to differentiate among USTRANSCOM, Air Mobility Command (AMC), and Defense Courier Service (DCS) assets. Reconcile all system records for USTRANSCOM, AMC and DCS against actual assets, and a prior period adjustment will be made. Create electronic interfaces between the logistics and the accounting systems for transferring data.</p>	<p>System changes take considerable time to be implemented.</p>	<p>TRANSCOM</p>
<p>D-2001-171, Industrial Prime Vendor Program at the Naval Aviation Depot-Cherry Point, 8/6/2001</p>	<p>Develop alternatives to keep conventional bench stock support competitive by either reducing costs or increasing bench stock sales.</p>	<p>Additional time was needed to refine the support concept.</p>	<p>DLA</p>
<p>D-2001-175, Application of Year 2000 Lessons Learned, 8/22/2001</p>	<p>Implement a mission or business area approach for managing information technology investments; and implement an oversight process for complete repair, retirement, or replacement of systems that used date-windowing techniques during the year 2000 conversion process.</p>	<p>Delays in issuing and implementing policy.</p>	<p>ASD(NII)</p>
<p>D-2001-179, Military Aircraft Accident Investigation and Reporting, 9/10/2001</p>	<p>Simplify access to safety information management system.</p>	<p>Long-term alternative management action on schedule.</p>	<p>Navy</p>

<p>D-2001-189, Multiple Award Contracts for Services, 9/30/2001</p>	<p>Reemphasize the need to ensure competition on multiple award tasks and delivery order contracts. The Director, Defense Procurement and Acquisition Policy will propose a DFARS change that will require notice of all orders over \$100,000 for services and consideration of offers unless the FAR 16.505(b)(2) exception is satisfied and documented. The DD 350 Contract Reporting System will be used to track each contracting organizations use of competition in awarding task orders.</p>	<p>Management corrective actions have been deferred by higher priorities.</p>	<p>USD(AT&L)</p>
<p>D-2002-004, Import Processing of DoD Cargo Arriving in the Republic of Korea, 10/4/2001</p>	<p>Revise USFK Regulation 55-72 to require improvements in processing shipping forms and cargo, maintain current information on customs clearance personnel, require the use of commercial carriers when available, and establish procedures to pay storage charges to Korea in Korean currency. Implement a cost-efficient system for the automated processing of customs forms using an electronic data interchange.</p>	<p>Corrective actions are on target.</p>	<p>USFK</p>
<p>D-2002-005, Management of the Joint Simulation System, 10/5/2001</p>	<p>Joint Simulation System (JSIMS) Program Manager is developing an overall security policy, including a security procedures manual and security standard operating procedures. Program Office is also developing a Program Office Estimate of JSIMS Life Cycle Cost Estimate.</p>	<p>JSIMS development effort has been terminated. Hardware and software have been transferred to the Joint Warfighting Center at USJFCOM.</p>	<p>USD(AT&L)</p>
<p>D-2002-006, Classified Chemical and Biological Report (U), 11/16/2001</p>	<p>Report is classified.</p>	<p>Extensive time needed to improve readiness reporting and training.</p>	<p>Navy</p>

D-2002-008 , Controls Over the Computerized Accounts Payable System (CAPS) at Defense Finance and Accounting Service Kansas City (DFAS-KC), 10/19/2002	Improve guidance on criteria for proper and accurate receipt and invoice documentation; improve organizational structures to provide better internal controls, especially separation of duties.	Management corrective actions are on target.	DFAS, MC
D-2002-009 , Valuation of Inventories in the Defense Logistics Agency Standard Automated Materiel Management System, 10/22/2001	DLA Inventory Control Points will need to correct all inaccurate acquisition costs in order to quantify the benefits to DLA customers for the reduced sales prices. Corrections should be made in time for the FY 03 standard prices.	Buy history not completed when originally anticipated, deferring corrective actions a year.	DLA
D-2002-010 , Armed Services Blood Program Defense Blood Standard System, 10/22/2001	Establish a plan to ensure user critical functional requirements are incorporated into Defense Blood Standard System (DBSS). Establish controls to ensure thorough review on system change requests. Establish competency assessment requirements for system administrators and develop a tri-Service training program. Establish procedures to ensure effective deployment of DBSS system upgrades and management of blood product inventory.	Normal progression of system development and changes.	Army, Navy, AF, ASD(HA)
D-2002-019 , Checks Issued Difference for Deactivated Disbursing Station, 11/28/2001	Establish a standard method to clear checks issued differences when reconciliation data are not available; Clear checks issued differences from the Comparison Report at locations where the data needed to complete the checks issued reconciliation are not available.	Long-term corrective action on target.	DFAS
D-2002-020 , Audit Report on General Officer Quarters at Kaneohe Bay, Hawaii Camp Pendleton California, and Albany, Georgia, 12/5/2001	Congressional committees will be notified of ADA violation and appropriate corrections will be made. SecNavInst 11101.73B will be revised and a resident guide will be developed.	Normal time to revise policies, conduct an investigation and report the results.	Navy

D-2002-024 , Navy Fleet Hospital Requirements (U), 12/12/2001	Report is classified.	Corrective actions are on target.	Navy, PACOM
D-2002-028 , Classified Report on Environmental Program - Japan (U), 12/28/2002	Report is classified.	Corrective actions are on target.	PACOM
D-2002-032 , Audit of Major Defense Acquisition Program Cycle Time, 12/28/2001	AT&L is redesigning the Consolidated Acquisition Reporting System.	Additional time needed to make revisions to the database and software.	USD(AT&L)
D-2002-034 , Military Health System Optimization Plan, 12/31/2001	Two new groups, Medical-Personnel (MED-PERS) Committee and Health Professionals' Incentives Integration Board (HPIIB) were established. One of the matters under their consideration will be opportunities for resource sharing between the Services.	Change to original planned action.	ASD(HA)
D-2002-035 , Protection of Strategic Systems Against Radio Frequency Threats (U), 1/4/2002	Report is classified.	Corrective actions are on target.	USD(I)
D-2002-036 , Acquisition of the Naval Fires Control System (NFCS), 1/8/2002	The Navy is going to update the Operational Requirements Document (ORD) and the Surface Test and Evaluation Master Plan. Upon receipt of the updated ORD for NFCS, the Joint Staff office will review and certify the document for interoperability and coordinate it accordingly.	Updates to the present ORD are being incorporated into the Capability Production Document.	Navy
D-2002-038 , Financial Reporting for the Other Defense Organization General Funds at the Defense Finance and Accounting Service San Antonio, 1/14/2002	Review all abnormal general ledger accounts; determine the cause of net abnormal balances; document the causes of net abnormal balances and when possible correct the balances; and correct abnormal balances generated by business process anomalies.	Long term corrective actions on target, but not yet validated by OIG CFO audit.	DFAS

<p>D-2002-048, General and Flag Officer Quarters at Fort Shaffer, Hawaii and Fort McPherson, Georgia, 2/12/2002</p>	<p>An investigation into a potential ADA violation was initiated. Policy on reviewing maintenance and repair expenditures will be incorporated into the next revision of AR 210-50.</p>	<p>Time required to conduct an investigation and update policies.</p>	<p>Army</p>
<p>D-2002-049, Classified Report on Environmental Program (U), 2/14/2002</p>	<p>Report is classified.</p>	<p>Corrective actions are on target.</p>	<p>PACOM</p>
<p>D-2002-052, Meteorological and Oceanographic Support from Continental United States Based Support Centers, 2/19/2002</p>	<p>Develop a joint Weather Research Forecast model and, through the Joint Meteorological and Oceanographic (METOC) and Interoperability Board (JMIB), develop a single geospatially-correct, four-dimensional environmental characterization of the battle space to provide the warfighter with information about his operating environment. Applicable guidance will be revised to include procedures for METOC acquisition category II and below programs.</p>	<p>Normal time needed to develop and coordinate models, plans, and revised guidance.</p>	<p>Navy, AF</p>
<p>D-2002-056, Controls Over Vendor Payments Made for the Army and Defense Agencies Using the Computerized Accounting Payable System (CAPS), 3/6/2002</p>	<p>Revise the FMR to incorporate the requirements of 5 CFR 1315. Improve security over access to CAPS. Provide for automated interfacing of data between CAPS and both the Standard Procurement System (SPS) and the Corporate Electronic Funds Transfer (CEFT) database.</p>	<p>Management corrective actions are on target.</p>	<p>USD(C), DFAS</p>

<p>D-2002-060, Management of Terminal Items at the Defense Logistics Agency, 3/13/2002</p>	<p>Revise procedures to review terminal items with no registered users in the Defense Inactive Item Program (DIIP), for obsolescence, and quantify the number of terminal National Stock Numbers (NSNs) that are determined to be obsolete after NATO and foreign governments review the NSNs.</p>	<p>Corrective action delayed due to upgrade in operating systems and information found in the system.</p>	<p>DLA</p>
<p>D-2002-071, DoD Management of the North Atlantic Treaty Organization Security Investment Program, 3/26/2002</p>	<p>EUCOM will track each prefinanced project in the NATO Security Investment Program, including the likelihood of NATO Infrastructure Committee authorization, actions required to obtain NATO authorization, and an estimated recoupment date. The Navy will amend Instruction 4000.2A.</p>	<p>Long-term corrective action on target.</p>	<p>EUCOM, Navy</p>
<p>D-2002-073, Financial Management Ending Balance Adjustments to General Ledger Data for the Army General Fund, 3/27/2002</p>	<p>Use transactional data from a centralized database to populate general ledger accounts in the Defense Departmental Reporting System (DDRS) Budgetary and continue efforts to analyze and correct causes for current adjustments; Use transactional data to generate a general ledger data file for DDRS Budgetary.</p>	<p>Slow system development process.</p>	<p>DFAS</p>
<p>D-2002-075, Controls Over the DoD Purchase Card Program, 3/29/2002</p>	<p>Improve oversight and management controls over DoD purchase cards.</p>	<p>Corrective actions are on target.</p>	<p>USD(AT&L)</p>
<p>D-2002-076, Funding Invoices to Expedite the Closure of Contracts Before Transitioning to A New DoD Payment System, 3/29/2002</p>	<p>Revise chapter 10, Appendix B, number 7, "Accounting Requirements for Expired and Closed Accounts, " to require that the DoD activity to which a program has transferred be responsible for providing current-year funding.</p>	<p>Corrective actions are on target.</p>	<p>USD(C)</p>

<p>D-2002-079, Delivery and Receipt of DoD Cargo Inbound to the Republic of Korea, 4/15/2002</p>	<p>USFK Regulation 55-355, "Korea Traffic Management" is being revised to include specific cargo delivery information. The Eighth U.S. Army Command Inspection Program (CIP) will include delivery information. A new checklist will be incorporated into the CIP schedule by the 4th Quarter FY 2002.</p>	<p>Normal time for development and approval of Army documents.</p>	<p>USFK</p>
<p>D-2002-080, Quality Deficiency Reporting Procedures for Naval Repair Parts, 4/5/2002</p>	<p>Navy will develop and deploy an automated information technology system that will centrally account and control Process Quality Deficiency Reports.</p>	<p>Corrective actions are on target.</p>	<p>Navy</p>
<p>D-2002-084, Guidance for the Global Command and Control System Common Operational Picture (U), 5/1/2002</p>	<p>Report is FOUO.</p>	<p>Lack of management emphasis.</p>	<p>EUCOM</p>
<p>D-2002-088, Acquisition of the Joint Service Lightweight Standoff Chemical Agent Detector, 5/10/2002</p>	<p>Implement improvements in defining operational requirements, evaluating production readiness, and test planning.</p>	<p>Extensive time needed to revise program acquisition strategy.</p>	<p>USD(AT&L)</p>
<p>D-2002-090, Final Report on the Evaluation of the Defense Supply Center Columbus Qualified Products List and Qualified Manufacturers List Program, 5/14/2002</p>	<p>USD(AT&L) will review the underlying policy provisions concerning Qualified Manufacturer's List/Qualified Products List (QML/QPL) Programs contained in DoD Manual 4120.24-M and develop and issue clarifications as needed. Results of a risk assessment of Defense Supply Center Columbus are being entered into the QPL database, which will allow prioritization of audits.</p>	<p>Normal time needed to develop and coordinate policy revision.</p>	<p>USD(AT&L), DLA</p>

<p>D-2002-091, Accountability and Control of Materiel at the Corpus Christi Army Depot, 5/21/2002</p>	<p>Comply with Army guidance for storage of maintenance materiel and the preparation and submission of management reports for review; perform annual physical inventory and quarterly reviews of all materiel stored in the Automated Storage and Retrieval System (ASRS) to determine whether valid requirements exist and turn in or transfer materiel stored in work centers; review projects at various stages; and reconcile ASRS and Maintenance Shop Floor System files.</p>	<p>Action is ongoing, however, constrained by personnel reduction and competing priorities.</p>	<p>Army</p>
<p>D-2002-095, Chemical and Biological Defense Individual Protective Equipment in Central Command and European Command Area (U), 5/30/2002</p>	<p>Report is classified.</p>	<p>Extensive time needed for system development and testing USD(AT&L) Change in strategy (Army) and extensive time needed for coordination and issuance of policy (Army and Navy).</p>	<p>USD(AT&L), Army, Navy</p>
<p>D-2002-098, Army Website Administrative Policies and Practices, 6/5/2002</p>	<p>Establish a training requirement and curriculum for Army web administrators.</p>	<p>Funding issues caused initial delay. Action is now on track.</p>	<p>Army</p>
<p>D-2002-101, Human Capital Compensation Policies and Procedures for Selected Nonappropriated Funds Childcare Providers, 6/10/2002</p>	<p>Strengthen management controls over salary payments to provider staff.</p>	<p>Extensive time needed for coordination and issuance of policy.</p>	<p>USMC</p>
<p>D-2002-103, Certification of the Reserve Component Automation System (RCAS), 6/14/2002</p>	<p>Establish functional performance measures to better assess both the initial and future impact of RCAS on supported functionalities.</p>	<p>Corrective actions are on target.</p>	<p>Army, NGB</p>

D-2002-107 , Army Transition of Advanced Technology Programs to Military Applications, 6/14/2002	Research Development and Engineering Centers (RDECs) should incorporate performance goals necessary for technology transitions in Science & Technology project managers performance plans. Positive and clear incentives should be introduced in order to foster advancement as rapidly as possible.	Ongoing actions on schedule.	Army
D-2002-108 , Standard Procurement System Certification and Accreditation Process, 6/19/2002	Identify the responsibilities the certification and accreditation actions or steps to be performed by the program manager and Component organizations, to include Component sites to which the system is deployed.	Delays in issuing and implementing policy.	ASD(NII)
D-2002-109 , Army Claims Service Military Interdepartmental Purchase Requests, 6/19/2002	Recoup the Unliquidated obligation in FY 1997- 2000 O&M funds from GSA and deobligate the funds.	GSA has provided the Army Claims Service with the amount to deobligate, but the Army has not yet been able to verify the amount spent.	Army
D-2002-112 , Report of the Industrial Prime Vendor Program at the Air Force Air Logistics Center, 6/20/2002	A new sourcing process will include an automated sourcing model capable of evaluating individual items and determining the best value source, including existing inventory.	Sourcing model developed but testing revealed several limitations that require enhancements.	DLA
D-2002-113 , Controls Over the Computerized Accounts Payable System at Defense Finance and Accounting Service Columbus, 6/21/2002	Require the manual certification of all vendor payments made using the Computerized Accounts Payable System for Windows, until implementation of a sampling plan for vouchers under \$2500.	Implementation was delayed by a change in management strategy, and is now expected by year-end.	DFAS
D-2002-117 , Review of FY 2001 Financial Statement for the Defense Intelligence Agency (U), 6/25/2002	Report is classified.	Long-term action continues.	DIA

D-2002-121 , Security: Controls Over Biological Agents (U), 6/27/2002	Report is classified.	Extensive time needed to coordinate policy and delay due to reorganization.	USD(I)
D-2002-122 , Environmental Community Involvement Program at Test and Training Ranges, 6/28/2002	A new DoD Directive "Outreach and Community Involvement for Sustainable Readiness" is being developed to address the issues raised by the audit. An individual will be detailed to OSD to work with the Overarching Integrated Product Team and Working Integrated Product Team to implement the new Directive and Instruction.	Normal time required to develop and coordinate policy document.	USD(P&R)
D-2002-126 , Acquisition of the Evolved Seasparrow Missile, 7/5/2002	Include a cost element in the missile life-cycle cost estimate to account for demilitarization and disposal costs for the missile; revise the ORD to designate interoperability as a key performance parameter; and develop a C4I support plan.	Awaiting JROC approval of updated ORD.	Navy
D-2002-127 , Audit Report on DoD Compliance with Internal Use Software Accounting Standards, 7/9/2002	Applicable guidance will be used to facilitate distinguishing between capitalized and expensed software; a system will be implemented to capture material internal software costs; and a data call process will be used to request the necessary information to determine which software should be capitalized and which should be expensed.	Long-term corrective action on target.	DFAS, USD(C)
D-2002-131 , Terminal Items Managed by the Defense Logistics Agency for the Navy, 7/22/2002	DLA will modify the existing stock retention policy to review terminal items that are excluded from the Defense Inactive Program (DIIP). In addition, plan to complete a new study to quantify the costs of inactive items.	Corrective action delayed due to upgrade in computer operating system.	DLA

<p>D-2002-140, Measurement of Water Usage by DoD Components Serviced by the DC Water and Sewer Service, 8/20/2002</p>	<p>Locate and test water meters that measure water service from the DCWASA; establish and implement procedures to verify that the DCWASA routinely inspects and reports results of inspections for DoD-owned water meters; develop and implement effective controls and procedures to verify that the DCWASA accurately reads water meters to ensure that estimated water and sewer bills are reliable; establish and implement a maintenance program to repair or replace inoperable water meters.</p>	<p>Long-term corrective action on target.</p>	<p>Army, Navy, AF, NGA, WHS</p>
<p>D-2002-153, Reprocessed Medical Single-Use Devices in DoD, 9/30/2002</p>	<p>ASD(HA) issue policy and guidance stating the DoD position on the reuse of single-use devices (SUD) and work with FDA to work toward clarifying SUD labeling requirements. The MILDEPs Surgeons General issue implementing guidance consistent with ASD(HA) policy and ensure adequate awareness and training is provided to Military Treatment Facility personnel regarding the use of SUDs.</p>	<p>Significant time required to address/resolve issues with FDA and Services.</p>	<p>ASD(HA)</p>
<p>D-2002-154, Cooperative Threat Reduction Program Liquid Propellant Disposition Project, 9/30/2002</p>	<p>Take actions with regard to Russia to mitigate the risks of misuse of Cooperative Threat Reduction-provided assistance. Expedite the determination of the future of the heptyl disposition facility and request that Russia use the proceeds from the sale of heptyl for CTR Program purposes. Once access to facilities is obtained, perform more complete inspections of equipment provided to Russia to ensure their proper usage.</p>	<p>Management corrective actions are on target.</p>	<p>USD(P), DTRA</p>

D-2003-001 , DoD Integrated Natural Resource Management Plan, 10/1/2002	The Services are working aggressively to coordinate and complete the few remaining integrated natural resources management plans.	Corrective actions are on target.	Army, Navy, AF
D-2003-002 , Information Resource Management at the Army Aviation and Missile Command, 10/3/2002	Provide technical monitors with training and guidance in the basic information technology concepts necessary to evaluate the acceptability of products and services obtained from the Information Mission Area Support Services Contract.	Delayed deployment of automated training program.	Army
D-2003-003 , Controls for the DoD Aviation Info-Plan Reimbursement Card, 10/3/2002	The DLA and the Services need to improve management controls and establish written policies that define the methods and responsibilities for using the Aviation Into-Plane Reimbursement Card.	Corrective actions are on target.	DLA, Army, Navy, AF, MC
D-2003-004 , Acquisition of the Advance Deployable System, 10/3/2002	Update the acquisition strategy and all documentation related to the Advanced Deployable System (ADS), inclusive of all four blocks. In addition, complete a life cycle cost estimate for all four blocks.	ADS program was redirected to a spiral development acquisition program.	Navy
D-2003-014 , Facility Specific Controls Over Biological Agents (U), 10/25/2002	Report is classified.	Long-term corrective action on schedule.	USD(I)
D-2003-016 , Material Distribution Services Contract at the Defense Distribution Depot Warner Robins Georgia, 10/30/2002	Develop standard operating procedures for Continuing Government Activity (CGA) personnel at the Defense Distribution Depot Warner Robins, Georgia (DDWG). Provide guidance to CGA personnel that stresses the importance of documenting contractor surveillance, including the use of contractor discrepancy reports.	Development of handbook delayed because it is now paralleling the development of relevant training modules.	DLA

D-2003-018 , Validity of Registration in the Central Contractor Registration Database, 10/30/2002	Establish procedures to withhold payments to contractors and vendors until they are properly registered with a valid Tax Identification Number in the Central Contractor Registration database.	Management continues to negotiate with the Internal Revenue Service to allow matching of identification numbers.	DFAS
D-2003-019 , DoD Contractor Subcontracting With Historically Underutilized Business Zones (HUB Zones) Small Businesses, 11/22/2002	Implement a plan for reviewing and verifying prime contractors' reported subcontracting awards to ensure they are made to Small Business Administration-certified contractors.	Awaiting responses to questions related to FAR case.	DCMA, USD(AT&L)
D-2003-021 , Export Controls Over Biological Agents (U), 11/12/2002	Report is confidential.	Extensive time is needed to coordinate and issue policy guidance.	USD(P), USD(AT&L), DATSD(C/BD)
D-2003-029 , Contract Action Awarded to Small Businesses, 11/25/2002	Issue guidance for placing orders on the Federal Supply Schedules (FSS), publish clarifying guidance on using FSS in the Federal Acquisition Regulation, provide training on FSS use, re-emphasize the importance of market research, conduct trend analysis and monitoring of progress made in obtaining competition from multiple sources.	Normal time needed to establish policy and develop training.	USD(AT&L)
D-2003-030 , Financial Reporting of Deferred Maintenance Information on Air Force Weapons Systems for FY 2002, 11/27/2002	Develop additional procedures for collecting deferred maintenance information that is reconcilable with detailed year-end execution data. Revise FMR to allow the Air Force to present all material categories of deferred maintenance as major asset classes in accordance with Federal accounting requirements.	Long-term corrective action on target.	AF, USD(C)

<p>D-2003-031, Defense Contracting Command Washington, 12/5/2002</p>	<p>The Army will issue guidance for timely monitoring, documenting, and recording of continuous learning points; specific Defense Contract Command - Washington (DCC-W) internal guidance will depend on what is contained in the Army-wide guidance. The DCC-W will conduct a manpower analysis.</p>	<p>Corrective actions are on target.</p>	<p>Army, DCC-W</p>
<p>D-2003-033, Accountability and Control of Materiel at the Naval Air Depot, North Island, 12/19/2002</p>	<p>Naval Air Depot (NADEP) comply with guidance on storage, quarterly reviews, and reporting of maintenance materiel. Develop and implement an effective management control program. NADEP perform an inventory and conduct quarterly reviews of materiel in storerooms. Adjust accountable records and return excess materiel to the supply system.</p>	<p>Action is ongoing and on schedule.</p>	<p>Navy</p>
<p>D-2003-034, Adjustments to the Intergovernmental Payments Account, 12/10/2002</p>	<p>Revise the FMR to specify the documentation required to support adjustments from account F3885, 'Undistributed Intergovernmental Payments,' to closed appropriations. The guidance should describe the documentation required to identify the proper expenditure account, the responsible fund holder, and the payment date.</p>	<p>Long term action continues.</p>	<p>USD(C)</p>
<p>D-2003-038, Management Control Over Proposed Prime Vendor Support for the Army Apache Helicopter, 12/31/2002</p>	<p>Update Army Regulation 5-20, "Commercial Activities Program," to require Army organizations to avoid conflicts of interest, to ensure separation of duties, limit the number of persons who have access to Government contracts, provide appropriate training in the revised OMB Circular A-76, and use legal services to assist in avoiding conflicts of interest.</p>	<p>Awaiting DoD guidance on the implementation of revised OMB Circular A-76.</p>	<p>Army, USD(AT&L)</p>

D-2003-040 , Chemical and Biological Defense Individual Protective Equipment in the Pacific Command Area (U), 12/31/2002	Report is classified.	Long-term corrective actions on schedule.	USD(AT&L),PACOM, Army, Navy, AF, USMC
D-2003-054 , Financial Reporting of Deferred Maintenance Information on Army Weapons Systems for FY 2002, 2/3/2003	Issue guidance requiring all elements of the Army to identify and collect: deferred depot-level maintenance requirements including maintenance that will not be executed due to constraints in depot level maintenance capacity; and deferred field-level maintenance amounts that are material as well as deferred maintenance identified as unexecutable. Develop the capability to capture this data through automated systems.	Long-term corrective action on target.	Army
D-2003-055 , Summary of Defense Finance and Accounting Services Implementation of Government Information Security Reform, 2/21/2003	Direct the Designated Approving Authority to revalidate that each Defense Finance and Accounting Service system is certified and accredited, and oversee the immediate certification and accreditation for those systems that do not have full approval to operate.	Planned actions are on schedule.	DFAS
D-2003-056 , Public/Private Competition for the Defense Finance and Accounting Service Military Retired and Annuitant Pay Functions, 3/21/2003	The Center for Naval Analysis is studying the how overhead costs could have impacted the A-76 competition. DFAS is reviewing/studying all aspects of the A-76 competition that impacted the outcome, e.g. feasibility of recompetition, review of available legal options, review of MEO, rewrite and renegotiation of a performance requirements summary, and perform a benchmarking study on customer service requirements.	Normal time needed to conduct study/reviews of actions impacting the final decision to contract out the Military Retired and Annuitant Pay Functions.	USD(AT&L)

<p>D-2003-057, Accountability and Control of Materiel at the Naval Air Depot, Jacksonville, 3/5/2003</p>	<p>Enforce existing policy on identification and quarterly reporting of excess materiel and develop policy for point of use inventory. Perform inventories and quarterly review of all materiel in storage, adjust records and return excess materiel to the supply system.</p>	<p>Corrective actions are on target.</p>	<p>Navy</p>
<p>D-2003-062, Processing General Services Administration Rent Bills for DoD Customers in the National Capital Region, 3/12/2003</p>	<p>Resolve all outstanding differences between rent payments collected from DoD customers and amounts remitted to the General Services Administration to ensure that authorized rent payments are properly accounted for and collected amounts are remitted to the General Services Administration.</p>	<p>Corrective actions are on target.</p>	<p>DFAS, WHS</p>
<p>D-2003-064, Report on Accountability and Control Of Materiel at the Warner Robin Air Logistics, 3/20/2003</p>	<p>The Air Force is completing physical inventory, reconciling with the Wholesale and Retail Shipping System, and tuning in any excess materials to supply. In addition, the Air Force will issue a policy directive to ensure proper supply discipline and use of material metrics in monthly material reviews.</p>	<p>Air Force status response has been delayed.</p>	<p>AF</p>
<p>D-2003-066, Controls Over the Use and Protection of Social Security Numbers Within DoD, 3/21/2003</p>	<p>An SOP will be developed to provided guidance and procedures for the protection and release of privacy data.</p>	<p>Time needed to transfer DSS security investigation function to OPM.</p>	<p>USD(I)</p>
<p>D-2003-067, Recoveries of Prior Year Obligations, 3/21/2003</p>	<p>Revise the DoD Financial Management Regulation to be consistent with recovery reporting guidance issued by the OMB and the Department of the Treasury; and program the DFAS accounting systems to properly capture, record, and report recoveries of prior year obligations.</p>	<p>Corrective actions are on target.</p>	<p>USD(C), DFAS</p>

D-2003-072 , DoD Compliance with the Uniformed and Overseas Citizens Absentee Voting Act, 3/31/2003	Services are updating their guidance to be consistent with DoD level guidance.	Significant time required to develop guidance.	Army, Navy, AF
D-2003-073 , Reliability of the FY 2002 National Imagery and Mapping Agency Financial Statements and Adequacy of Related Procedures and Controls (U), 4/2/2003	Report is classified.	Corrective actions continue.	NGA
D-2003-074 , Reliability of the FY 2002 Defense Intelligence Agency Financial Statements and Adequacy of Related Procedures and Controls (U), 4/7/2003	Report is classified.	Corrective action continues.	DIA
D-2003-075 , Transition From the Automatic Digital Network to the Defense Message System, 4/8/2003	A post implementation review (PIR) is in process, to include a DISA survey that will call for organizations to report the extent that they use DMS 3.0 for organizational correspondence as opposed to other official means.	Planned actions are on schedule.	ASD(NII)
D-2003-076 , Document Automation and Production Service Public Private Competition, 4/8/2003	Report is FOUO.	Normal time to approve and issue guidance.	USD(AT&L), DLA, Director (A&M)
D-2003-077 , Cooperative Agreements Support the Mentor Protégé Program, 4/10/2003	The USD[C] tasked the Director, Small and Disadvantaged Business Utilization (SADBU) to conduct a preliminary review into a potential Antideficiency Act violation.	Corrective actions are on schedule.	USD(C)
D-2003-080 , Allegation Concerning Information Assurance Management at the Defense Logistics Agency, 4/21/2003	Report is FOUO.	Corrective actions are on target.	DLA

<p>D-2003-081, DoD Explosives Safety Program Oversight, 4/24/2003</p>	<p>Issue policy to restructure the DoD Explosives Safety Board and revise the DoD guidance to accurately reflect the Board's roles and responsibilities. Develop a safety management strategy that requires a comprehensive DoD explosives safety program.</p>	<p>Implementation actions are on target.</p>	<p>USD(AT&L)</p>
<p>D-2003-083, Acquisition of the Suite of Integrated Radio Frequency Countermeasures, 4/29/2003</p>	<p>Report is FOUO.</p>	<p>Awaiting approval of documentation and completion of testing.</p>	<p>USSOCOM</p>
<p>D-2003-085, International DoD Air Freight Tenders, 4/30/2003</p>	<p>USTRANSCOM was finalizing its Publication No. 5 to include draft criteria specified in the report. USTRANSCOM also was to electronically enter information on international air freight tenders into the new transportation routing system, once implemented; review air freight tenders and revoke tenders from carriers that are no longer qualified; direct the use of PowerTrack; establish standard rules and processes for air freight tenders; and develop plans of action for the new transportation routing system.</p>	<p>Delayed by the need to resolve requirements for rating shipments and standard delivery zones and the cancellation of the contract to develop the Surface Transportation Management System (STMS).</p>	<p>USTRANSCOM</p>
<p>D-2003-088, Acquisition of the Chemical Demilitarization Program (U), 5/12/2003</p>	<p>Report is classified.</p>	<p>Actions are on target.</p>	<p>Army</p>
<p>D-2003-090, Use and Control of Military Interdepartmental Purchase Requests at the Air Force Pentagon Communications Agency, 5/13/2003</p>	<p>Establish and implement interagency agreements with activities receiving military interdepartmental purchase requests from the Air Force Pentagon Communications Agency; and conduct a preliminary review for potential Antideficiency Act violations.</p>	<p>The original Project Officer and team retired from federal government service, and the effort has been reassigned to a new Project Officer and team to work and complete.</p>	<p>Air Force</p>

D-2003-091 , Reliability of the FY 2002 National Security Agency Financial Statement and Adequacy of Related Procedures and Controls (U), 5/14/2003	Report is classified.	Long-term corrective action on schedule.	NSA
D-2003-095 , Accounting for Reimbursable Work Orders at Defense Finance and Accounting Service Charleston, 6/4/2003	Develop business practices for Navy fund administrators to properly account for reimbursable work orders. Develop a methodology and provide guidance to prevent Navy fund administrators from over obligating at the segment level. Establish edit checks that align with the business practices of the Navy.	Long-term corrective action on target.	DFAS, Navy
D-2003-096 , Protection of European Theater Systems Against Radio Frequency Threats (U), 6/4/2003	Report is classified.	Management corrective actions are on target.	Army, Navy, Air Force, JCS & ASD(NII)
D-2003-098 , Follow- Up Audit of Depot- Level Repairable Assets at Selected Army and Navy Organizations, 6/5/2003	Funding will be sought to subsume the Contractor Asset Visibility functionality into the Logistics Modernization Program. Plan to conduct review of dormant/excess assets at storage sites. Revised policy will clarify requirements for adequately completing an "internal" and "external" review for all categories of material.	Normal time needed to issue new policy and deploy an automated capability.	Army, Navy
D-2003-101 , Law Enforcement Support Office Excess Property Program, 6/13/2003	Defense Reutilization Marketing Service is working on expanding the electronic receipt of property in place to more customers. An automated initiative for high-end items will contain detailed management-type information. DRMS will implement electronic storage of DoD excess property issuance documentation.	Normal time for development of automated processes.	DLA

D-2003-102 , Office of the Secretary of Defense Disaster Preparedness (U), 6/17/2003	Report is classified.	Corrective actions are on target.	ASD(HD)
D-2003-104 , Department of Defense Policies and Procedures to Implement the Rural Development Act of 1972, 6/17/2003	Revision of DoD Directive 4165.6, "Real Property Acquisition, Management and Disposal." Specific guidance will be incorporated into the revision to include a February 2003 memorandum issued by USD (AT&L) and information from Public Law 92-419, section 601.	Coordination and approval process for DoD Instructions.	USD (AT&L)
D-2003-105 , Management of Developmental and Operational Test Waivers for Defense System, 6/20/2003	Report is FOUO.	Normal time to process updates to DoD Instructions.	USD (AT&L)
D-2003-106 , Administration of Performance-Based Payments Made to Defense Contractors, 6/25/2003	The Director, Defense Procurement and Acquisition Policy (DPAP), will conduct an assessment of the benefits of expanded performance-based payments implementation. It will address contracting officer compliance with FAR Part 32.10, and whether any changes are needed to those policies, the Performance-Based Payments User's Guide, or training resources.	Corrective actions are on schedule.	USD (AT&L)
D-2003-107 , DoD Petroleum War Reserve Requirements (U), 6/26/2003	Report is classified.	Normal time needed to draft and coordinate guidance.	AF
D-2003-110 , Information Technology Management: Defense Civilian Personnel Data System Functionality and User Satisfaction, 7/27/2003	Formal policy to address and resolve issues related to the Human Resource automated systems will be included in the Civilian Personnel Manual. Also, system enhancements to correct deficiencies are in process.	Normal time needed to draft and coordinate guidance as well as develop system enhancements.	USD(P&R)

D-2003-112 , Contracting Practices of the Defense Security Service for Personnel Security Investigations (U), 6/27/2003	Report is classified.	Normal time needed to develop and implement new policies and procedures.	USD(I), DSS
D-2003-113 , Healthcare: Franchise Business Activity Contracts for Medical Services, 6/30/2003	Guidance will be issued to the Military Departments regarding the use of the Franchise Business Activity contracting vehicle.	Normal time needed to draft and coordinate guidance.	ASD(HA)
D-2003-114 , Defense Logistics Agency's Implementation of the Government Information Security Reform, 6/30/2003	Complete the DoD certification and accreditation process for the Air Force Electronic Key Management System.	Delayed due to lack of response from NSA; SSAA forwarded to NSA as the DoD Designated Approving Authority for the Air Force Electronic Key Management System.	DLA
D-2003-115 , Allegations Concerning the Administration of Contracts for Electronic Flight Instruments, 6/30/2003	Air Force will prepare an acquisition strategy addressing logistics support for the 550-series Electronic Flight Instruments that address sustainment and spare parts. DCMA (at Lockheed Martin, Fort Worth, TX) will perform a Contractor Purchasing System Review (CPSR).	Normal time to develop and approve acquisition strategy.	Air Force & DCMA
D-2003-117 , Systems Inventory to Support the Business Enterprise Architecture, 7/10/2003	Establish a single repository for business systems information, which includes all data elements necessary for architecture development and budget. Establish procedures to ensure that the data are kept current, consistent, and accurate.	Long-term corrective action on schedule.	USD(C)
D-2003-119 , Controls Over DoD Medicare Eligible Retiree Health Care Fund Investments, 7/31/2003	Comply with DoD investment policy for the DoD Medicare Eligible Retiree Health Care Fund; Issue oversight procedures to ensure that the DFAS complies with the investment policy for the DoD Medicare Eligible Retiree Health Care Fund.	Long-term corrective action on target.	USD(C)

D-2003-120 , F/A-18E/F Integrated Readiness Support Teaming Program, 8/8/2003	Report is FOUO.	Actions are ongoing and are on schedule. Waiting for supporting documentation on actions from the Navy.	Navy
D-2003-121 , DoD Fire and Emergency Services Program, 8/12/2003	DoDI 6055.6 will be revised to address staffing issues. Modernization plans for fire and emergency services apparatus will be developed,	Normal time needed to update directive and develop modernization plans.	USD(AT&L), Army, Navy
D-2003-122 , Financial Management: Closing the Army's 1985 M1a1 Tank Contract (Contract Daae07-85-C-A043), 8/13/2003	OUSD(C) will issue guidance for unreconcilable contracts. The OUSD(C) will update the DoD FMR to specifically address the requirement to maintain vouchers and supporting documentation to facilitate complete contract reconciliations. All guidance directing the length of record retention will be revised to reference the date of contract closure and not the date of final payment.	Long-term corrective action on target.	USD(C), DFAS
D-2003-123 , Corps of Engineers Equipment Reporting, 8/20/2003	The Corps of Engineers will reinforce the reconciliation between the financial records and property records.	Long-term action requiring extensive time to perform asset valuation, document the cost of equipment assets, and to perform a reconciliation between financial records and property records.	Army
D-2003-124 , Financial Management: Certification of a DoD Payment for Telecommunications Services, 8/22/2003	Reconcile the approximately \$2.2 million of invoices that have not been researched to identify potential overpayments and require appropriate credit back to the Defense Information Technology Contracting Organization.	Long-term corrective action on schedule.	DISA

<p>D-2003-127, Allegation of Improper Accounting for Direct Billable Hours by the Defense Finance and Accounting Service, 8/28/2003</p>	<p>Make corrections for unbilled direct billable hours (DBH) and develop an SOP for collection, allocation, and billing of DBH workcounts to ensure non-billing of customer charges does not occur in the future. The SOP will also specify that appropriate audit trails be maintained.</p>	<p>Management corrective actions are on target.</p>	<p>DFAS</p>
<p>D-2003-128, The Chemical Demilitarization Program: Increased Costs for Stockpile and Non-Stockpile Chemical Disposal Programs, 9/4/2003</p>	<p>Direct DoD components to develop and prioritize a schedule for the disposal of buried chemical warfare materiel (USD(AT&L)). Update the plan and cost estimate for disposal after the DoD Components implement the USD(AT&L) direction.</p>	<p>Long-term corrective action on schedule (USD(AT&L)). Action on hold pending DoD Components' implementation of pending USD(AT&L) tasking (Army).</p>	<p>USD(AT&L), Army</p>
<p>D-2003-130, Accountability and Control of Materiel at the Ogden Air Logistics Center, 9/5/2003</p>	<p>The Air Force developed guidance, and maintenance shops completed reviews and turned in excess material.</p>	<p>Actions are ongoing and on schedule.</p>	<p>Air Force</p>
<p>D-2003-131, Cooperative Threat Reduction Program Phase II, 9/11/2003</p>	<p>Expedite the determination of the future of the facilities and equipment purchased for Cooperative Threat Reduction (CTR) projects. Improve project plan guidance for CTR projects. Establish procedures to improve the production and maintenance of all necessary documents and correspondence.</p>	<p>Management corrective actions are on target.</p>	<p>USD(P), DTRA</p>

<p>D-2003-132, Air Force Transaction of Advanced Technology Program to Military Applications, 9/12/2003</p>	<p>Establish integrated product teams and charters for advanced technology development efforts. Revise and implement Air Force Instruction 61-101 to ensure the status of technology transition plans are reviewed at the Applied Technology Councils. Implement a Capabilities Requirements Review and Assessment (CRRA) process that will include all advanced technology development funded efforts.</p>	<p>Normal time for coordination and approval process for revised Air Force Instructions and other key documentation.</p>	<p>Air Force</p>
<p>D-2003-133, Report on Controls Over DoD Closed Appropriations, 9/15/2003</p>	<p>Emphasize the importance of controls over the use of closed appropriations and monitor compliance with applicable laws and regulations. DFAS establish specific standard procedures to ensure that accounting personnel approve only legal and proper adjustments to closed appropriations, and validate the canceled balances and report any potential Antideficiency Act violations.</p>	<p>Corrective actions are on target.</p>	<p>USD(C), DFAS</p>
<p>D-2003-134, System Security of the Army Corps of Engineers Financial Management System, 9/15/2003</p>	<p>Track all major modifications of corporate-level automated information systems and networks to ensure accreditation and reaccreditation actions are initiated in accordance with DoD guidance, and require all USACE districts to perform site inspections and prepare action reports that verify physical security policies are followed.</p>	<p>Actions delayed due to competing priorities.</p>	<p>USACE</p>

Acronyms:

AF	Air Force
ASD(HA)	Assistant Secretary of Defense (Health Affairs)
ASD(NII)	Assistant Secretary of Defense (Networks Information Integration)
CAP	Civil Air Patrol
DAM	Director, Administration and Management
DATSD(C/BD)	Deputy Assistant to the Secretary of Defense for Chemical/Biological Defense
DCC-W	Defense Contracting Command - Washington
DCMA	Defense Contract Management Agency
DeCA	Defense Commissary Agency
DFAS	Defense Finance and Accounting Service
DIA	Defense Intelligence Agency
DISA	Defense Information Systems Agency
DLA	Defense Logistics Agency
DSS	Defense Security Service
DSCA	Defense Security Cooperation Agency
DTRA	Defense Threat Reduction Agency
EUCOM	European Command
JS	Joint Staff
MC	Marine Corps
NGA	National Geospatial-Intelligence Agency
NGB	National Guard Bureau
NRO	National Reconnaissance Office
NSA	National Security Agency
PACOM	Pacific Command
TRANSCOM	Transportation Command
SOCOM	Special Operations Command
USD(AT&L)	Under Secretary of Defense for Acquisition, Technology and Logistics
USD(C)	Under Secretary of Defense (Comptroller)
USD(I)	Under Secretary of Defense (Intelligence)
USD(P)	Under Secretary of Defense for Policy
USD(P&R)	Under Secretary of Defense for Personnel and Readiness
USFK	United States Forces - Korea
WHS	Washington Headquarters Service