

**STATUS OF OIG DOD REPORTS MORE THAN 12 MONTHS OLD
WITH FINAL ACTION PENDING ^{1, 2}
(As of March 31, 2004)**

Report Number/Title/Date	Description of Action	Reason Action Not Completed	Principle Action Office
91-055 , Pricing and Billing of Stinger Missiles Sold to Foreign Military Sales (FMS) Customers, 2/27/1991	Revision to Volume 15 of the Financial Management Regulation (FMR) on the calculation of packing, crating and handling (PCH) costs for FMS.	Lack of management emphasis.	USD(C)
93-163 , Procurement Prices Paid on Aircraft Weapon Systems for Foreign Military Sales, 9/2/1993	Revise the Financial Management Regulation to clarify the special provisions for Research, Development, Test & Evaluation.	Lack of management emphasis.	USD(C)
94-062 , Financial Status of Air Force Expired Year Appropriations, 3/18/1994	Changes to policy guidance to include refunds receivable arising from matters in litigation.	Lack of management emphasis.	USD(C)
95-238 , Administrative Lead Time at DoD Inventory Control Points, 6/15/1995	Automate methodology for determining administrative lead time in the Purchase Request Process System.	Delays in decision to continue with development of new system and funding.	AF
95-295 , DoD Reporting and Controls for Contracted Support Services (CSS), 8/21/1995	Revision of DoD Directive 4205.2, initially to clarify responsibilities for identifying CSS, then to bring it into conformance with the FY 99 Defense Authorization Act, and now to bring it into conformance with the FY 02 National Defense Authorization Act.	Initial corrective actions superseded by legislative proposal to revise the reporting of advisory and assistance services.	USD(AT&L)
96-029 , Inspection of the Department of Defense Joint Manpower Process, 11/29/1995	Issue joint regulation on manpower management.	Delays have been experienced in obtaining external coordination with DoD Components.	USD(P&R)
96-075 , Management and Administration of the United States Air Force Academy, 2/23/1996	Quantify monetary benefits resulting from the Athletic Association's reimbursement to the U.S. Air Force Academy.	Agreed-upon corrective actions have been completed, but delays have been experienced in determining the related monetary benefits.	AF

¹ Fulfills requirements of the Inspector General Act of 1978, as amended, 5 U.S.C., Appendix 3, Section 5(b)(4).

² For this reporting period, there are no disallowed costs on reports over 12 months old with final action pending. Report Nos. D-2001-015 and D-2003-064 had \$52.7 million and \$30.2 million, respectively, of funds to be put to better use that have been agreed upon, but have not yet been achieved.

Report Number/Title/Date	Description of Action	Reason Action Not Completed	Principle Action Office
96-156 , Implementation of the DoD Plan to Match Disbursement to Obligations Prior to Payment, 6/11/1996	Implement system changes to correct weaknesses in the automated prevalidation process.	Competing management priorities.	DFAS
96-213 , Financial Accounting at the National Security Agency, 8/20/1996	Update financial management system.	Actions redirected by Financial Management Enterprise Architecture are now in process.	NSA
97-014 , Controls Over the Return of Repairable Assets, 11/1/1996	Establishment of standard reporting procedures for contractors to report receipt transactions for repairable assets.	Corrective actions are on target.	Army
97-100 , Asset Presentation on Military Department General Fund Financial Statements, 2/25/1997	Clarify accounting guidance for the reporting of progress payments to ensure consistent presentation by the DFAS Centers on Military Departments General Fund financial statements and future DoD consolidated statements.	Competing management priorities.	USD(C)
97-112 , Air Mobility Command (AMC) Financial Reporting of Property, Plant, and Equipment (PP&E), 3/19/1997	AMC is to develop a methodology for keeping PP&E current and providing accurate and useful information to DFAS for preparation of financial statements.	Competing management priorities.	TRANSCOM, DFAS
97-134 , Disposal of Munitions List Items in the Possession of Defense Contractors, 4/22/1997	Change regulations to advance identification of munitions list items to the early stages of the acquisition process.	Unforeseen delays required additional time needed for coordination.	USD(AT&L), DLA
97-138 , Requirements Planning and Impact on Readiness of Training Simulators and Devices, 4/30/1997	Revision of DoD Directive 1430.13 and other policy to evaluate the effectiveness of simulation training. Results of Analysis of Alternatives done last year will shape policies needed for training, which will be incorporated into the directive.	DoD's Training Transformation Initiative and the Deputy Secretary's Implementation Plan directed a review of all training related to directives and instructions. The review is ongoing and has delayed final approval of DoDD 1430.13.	USD(P&R)

Report Number/Title/Date	Description of Action	Reason Action Not Completed	Principle Action Office
97-187 , Communications Capability Within the DoD to Support Two Major Regional Conflicts Nearly Simultaneously, 7/14/1997	Revise the Joint Operation and Planning and Execution System to include command and control and non-command and control communications requirements.	Delayed by the rewrite of overarching doctrine due to Secretary of Defense directed changes in the war planning process.	JS
97-213 , Evaluation of the Disposal of Munitions Items, 9/7/1997	Develop standard DoD-wide guidance for the entire munitions scrap disposal process. Conduct compliance inspections/risk assessments at key bases.	Delays have been experienced in coordinating and issuing policy.	USD(AT&L)
97-214 , Live-Fire Test and Evaluation of Major Defense Systems, 9/9/1997	Revise regulations to provide guidance on requesting waivers and on recommending programs for testing.	Extensive delays in reissuing DoD guidance complicated by reengineering effort to revamp the Air Force acquisition process.	AF
97-227 , Foreign Military Sales Administrative Surcharge Fund, 9/30/1997	Revise FMR Vol 15 regarding allocation of administrative surcharges.	Competing management priorities.	USD(C)
98-010 , Special Access-Like Programs, 10/17/1997	Special Operations Command/JS implementation of security changes and issuance of a JS manual.	Additional requirements and extensive time to conduct legal review.	JS
98-022 , Reporting of Contract Holdbacks on the DoD Financial Statements, 11/17/1997	Develop guidance regarding presentation of holdbacks on interim progress payments in the financial statements and publish that guidance in the FMR.	Competing management priorities.	USD(C)
98-025 , Management and Administration of International Agreements in the Department of Defense, 11/19/1997	Establish better management controls for international defense support agreements.	Competing management priorities.	USD(P)
98-049 , DoD Sensitive Support Focal Point System, 1/20/1998	USD(P) issuance of the revised DoD Directive S-5210, "DoD Sensitive Support System" for policy clarification.	Delays caused by reorganization and attendant decision on proponent for program. The USD(I) is considering proposing a DEPSECDEF program directive to address the issue.	USD(P), USD(I)

Report Number/Title/Date	Description of Action	Reason Action Not Completed	Principle Action Office
98-052 , Defense Logistics Agency Past Due Federal Accounts Receivable, 1/22/1998	Issue accounting and billing policy for requisitions under the Shelter for the Homeless Program.	Competing priorities initially. Corrective actions now on target.	USD(C)
98-063 , Defense Logistics Agency Product Quality Deficiency Program, 2/5/1998	Revisions to DLA Instruction 4155.24, "Quality Assurance Program for DLA Inventory Control Points."	Delays have been experienced in coordinating and issuing policy.	DLA
98-067 , Access Reciprocity Between DoD Special Access Programs, 2/10/1998	Standardize Special Access Program (SAP) eligibility implementing criteria and develop a centralized SAP database.	Competing management priorities; however, some corrective actions were outside DoD's span of control.	USD(I), Army, Navy, AF
98-085 , Joint Contracting for Depot Maintenance of Secondary Items, 3/4/1998	Update DoDD 4151.18 to include use of corporate contracting.	Time needed to run Pilot Programs and then develop and issue revised policy.	USD(AT&L)
98-100 , Fund Balance With Treasury Account in the FY 1996 Financial Statements of the Defense Business Operations Fund, 4/2/1998	Issue Standard Operating Procedures (SOPs) to the DFAS centers for reporting undistributed balances in the monthly accounting report 1307.	Policy and procedure revisions on hold pending implementation of new automated reporting system and decision to revise or replace accounting report 1307.	DFAS
98-116 , Accounting for Defense Logistics Agency Supply Management Receivables, 4/20/1998	Revise procedures for handling accounts receivable. Implement standard general ledger in accounting systems.	Competing management priorities.	DFAS
98-124 , Department of Defense Adjudication Program, 4/27/1998	Standardize forms; implement peer review program and professional certification for adjudicators; and improve use of Defense Clearance Investigation Index.	Competing management priorities.	USD(I)
98-139 , Financial Statement Presentation of DoD Progress Payments, 5/27/1998	Clarify accounting guidance for the reporting of progress payments to ensure consistent presentation by the DFAS Centers on Military Departments General Fund financial statements and future DoD consolidated statements.	Competing management priorities.	USD(C)

Report Number/Title/Date	Description of Action	Reason Action Not Completed	Principle Action Office
98-141 , DoD Contract Ship Fuels (Bunker Fuels) Acquisition Process, 5/28/1998	Develop an electronic means of measuring the volume of delivered fuel, and ensure that receipt data are government-controlled and government-certified.	Competing management priorities.	DLA
98-151 , Internal Controls and Compliance with Laws and Regulations for the DFAS Working Capital Fund Financial Statements for FY 97, 6/12/1998	Perform services for agencies based only on funded orders; select an accounting system for the DFAS Working Capital Fund that is compliant with OMB Circular A-127 and the DoD FMR.	Guidance changed; Implemented alternative systems to those originally projected for fielding.	DFAS
98-160 , Management Oversight of the Capabilities-Based Munitions Requirements Process, 6/22/1998	Develop specific written procedures and processes to comply with DoD Instruction 3000.4.	Awaiting results of study done on procedures and processes to comply with the updated DoD Instruction 3000.4.	JS, USD(AT&L)
98-170 , Army National Guard and U.S. Army Reserve Command Small Arms Indoor Firing Ranges, 6/30/1998	Revise and issue Army Regulation 385-63 and Army Pamphlet 385-63 to include standards for testing, cleaning, and decontaminating indoor ranges.	Extensive time required to revise Army National Guard and Reserve guidance.	Army
98-181 , Financial Statements for the National Security Agency, 7/22/1998	Update accounting methods.	Actions redirected by Financial Management Enterprise Architecture are now in process.	NSA
99-009 , Coordination of Electromagnetic Frequency Spectrum and International Telecommunications Agreements, 10/9/1998	Ensure coordination of communications systems with host nations and implement centralized management and oversight of international telecommunications agreements (ITAs).	Competing management priorities.	ASD(NII)
99-075 , Acquisition of the SH-60R Light Airborne Multipurpose System Mark III Block II Upgrade, 2/2/1999	Develop and insert alternative plan for live fire test in the test and evaluation master plan, revise the operational requirements document and prepare the command, control, communications, computers, and intelligence support plan.	Development of support plan has been contracted out.	Navy
99-078 , Outsourcing of Defense Commissary Agency Operations, 2/5/1999	Perform an outsourcing study for accounting positions.	Extensive time needed to schedule and conduct an outsourcing study.	DeCA

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99-102 , Chemical and Biological Warfare Defense Resources in the U.S. European Command (U), 3/4/1999	Report is classified.	Competing management priorities.	EUCOM, Army, Navy, AF, MC
99-137 , Selected General and Application Controls for Systems Supporting National Security Agency and Defense Intelligence Agency FY 1998 Financial Statement, 4/21/1999	Improve and update selected general application controls for systems.	Actions redirected by Financial Management Enterprise Architecture are now in process.	NSA
99-140 , Management of DoD Long-Haul Telecommunications Requirements, 4/21/1999	Develop a formal acquisition strategy for the Defense Information Systems Network and architecture.	Extensive time needed to complete develop alternative actions.	ASD(NII)
99-159 , Interservice Availability of Multiservice Used Items, 5/14/1999	Revise Joint Service Regulation to require consistent item management wherever economical and safe. Task the Services to provide training on disposal authority for multi-service used items and the requirement to communicate excess assets quantities to the primary inventory control activity item manager.	Delays have been experienced in coordinating and issuing policy.	USD(AT&L), Army
99-186 , DoD Export Licensing Processes for Dual-Use Commodities and Munitions, 6/18/1999	Implement guidance and database initiatives in support of the licensing process for dual-use commodities and munitions.	Competing management priorities.	USD(P)
99-191 , Compilation of the FY 1998 Financial Statements for Other Defense Organizations, 6/24/1999	Explain material abnormal balances reported on the financial statements and disclose and explain in the financial statement notes, material abnormal balances included in the normal balances.	Competing management priorities.	DFAS
99-192 , Depot Maintenance Capacity and Utilization Measurement, 6/23/1999	AF developing capacity instruction manual and revising performance plans for maintenance personnel.	Extensive time needed to coordinate and publish policy.	AF
99-200 , Management Controls Over Defense Logistics Agency System Design Center Conference Funds, 7/2/1999	Follow established Federal and DoD guidance when planning and conducting training conferences; review, revise, and reiterate DLA guidance on training conferences.	Policy delayed due to coordination with the Offices of General Counsel and Financial Operations.	DLA

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99-210 , Stewardship Reporting in the DoD Agency-Wide Financial Statements for FY 1998, 7/9/1999	In coordination with USD(C), with each Military Department, review historical artifacts database systems in use by the Military Departments to determine the feasibility of centralized databases within each Military Department and of a centralized DoD-wide database.	Corrective action on hold pending implementation of DoD Financial Management Modernization Program.	USD(AT&L)
99-237 , Internal Controls and Compliance with Laws and Regulations for the FY 1998 Financial Statements of the National Reconnaissance Office, 8/23/1999	Increase the accuracy and reliability of financial statement information.	Competing management priorities.	NRO
99-250 , Construction and Rehabilitation of Reserve Component, 9/13/1999	Improve oversight and management of indoor range construction and rehabilitation process.	Delays have been experienced in coordinating and issuing policy.	Army, NGB
00-005 , Information Assurances for the Joint Total Asset Visibility System, 10/8/1999	Implement improved information assurance controls for the Joint Total Asset Visibility System.	Delays in completing DLA acquisition/life-cycle management documentation.	DLA
D-2000-075 , Administration and Management of Civil Air Patrol, 2/15/2000	Improve administration and management of the Civil Air Patrol Program nonexpendable equipment items. Update Regulations 67-1 and 67-2 and Statement of Work to establish roles, responsibilities, policies and procedures. Implement new asset management software system.	Extensive time needed to coordinate and issue policy, deliver computers to field and arrange local internet service providers as needed.	CAP, AF
D-2000-099 , Procurement of the Propeller Blade Heaters for the C-130 and P-3 Aircraft, 3/8/2000	Negotiate fair and reasonable prices and determine technical data rights for future procurements. The Air Force will replace the Propeller Blade Heaters for the C-130 and P-3 Aircraft with the new Thermion design which will virtually eliminate a primary reason for the failure in the current design and demonstrate that there is a procedure in the overhaul manual that allows the propeller blade to be overhauled without removing the fairing.	Contractual issues and lack of funding.	AF

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D-2000-100 , Contracts for Professional, Administrative, and Management Support Services, 3/10/2000	Establish centers of excellence for contracting for services.	Launch of Service Contracting Center of Excellence was delayed due to a establishment of Center of Excellence for A-76-type Services.	Navy
D-2000-110 , Export Licensing at DoD Research Facilities, 3/24/2000	Improve guidance regarding the determination of the need for "deemed" export licenses in the event of foreign national visits to or assignments to DoD research facilities.	Delays have been experienced in coordinating and issuing policy.	USD(P), USD(AT&L)
D-2000-111 , Security Clearance Investigative Priorities, 4/5/2000	Establishment of timeframes to expedite investigative priorities.	Corrective action delayed by the transfer of the personnel security investigative function from DSS to OPM	USD(I), DSS
D-2000-128 , Defense Health Program (DHP) Financial Reporting of General, Property, Plant, and Equipment (PP&E), 5/22/2000	Accumulate and maintain DHP software development costs and accumulate amortization costs. Ensure that software development costs are moved to the Tricare Management Activity PP&E account for inclusion in Other Defense Organizations' financial statements.	ASD(HA) is awaiting issuance of additional guidance and implementation of the DoD Financial Management Enterprise Architecture before taking any actions.	ASD(HA)
D-2000-130 , Foreign National Access to Automated Information Systems (AIS), 5/26/2000	Update existing guidance to provide adequate procedures for authorizing and controlling access by foreign nationals to information available on AISs and Local area networks.	Delays have been experienced in coordinating and issuing policy.	Navy
D-2000-133 , Defense Logistics Agency FY 1999 Property, Plant, and Equipment Financial Reporting, 5/30/2000	DLA will work with DFAS and the Defense Logistics Support Center to reconcile differences between the Defense Property and Accounting System and the Defense Business Management System.	Complex approach for addressing the reporting discrepancies.	DLA

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D-2000-134 , Tracking Security Clearance Requests, 5/30/2000	The current database will be modified to retain all pertinent historical information (including dates/times for every occurrence -- e.g., deletions, case type, changes, cancellations, duplicates, conversions, reinstatements, etc.) This effort will take time and must be prioritized against other projected improvements to the Case Control Management System.	Extensive time/resources needed to modify an automated system.	DSS
D-2000-135 , Protection of the M1A2 Tank 2000 Against Radio Frequency Weapons, 5/31/2000	System testing.	Long term actions are on schedule.	Army
D-2000-139 , Controls Over the Integrated Accounts Payable System, 6/5/2000	Guidance at all levels is to be revised to improve the adequacy of supporting documentation for vendor payments.	Awaiting approval of a systems change request.	USD(C), DFAS
D-2000-140 , Compilation of the FY 1999 Department of the Navy Working Capital Fund Financial Statements, 6/7/2000	DFAS has initiated the Business Management Redesign to better integrate financial and business management data. DFAS is also working with the Navy to reconcile inventory-related general ledger account balances to supporting records. The USD(C) is to address the classification and policy for inventory and related assets in the FMR, Volume 11B.	Delays have been experienced in coordinating and issuing policy., and system changes take considerable time to be implemented.	USD(C), DFAS
D-2000-148 , Configuration Status Accounting for National Security Agency Mission-Critical Automated Information Systems (U), 6/16/2000	Report is classified.	Extensive agency reorganization and emergent priorities. Corrective actions now on target and progressing toward completion.	NSA
D-2000-153 , Compilation of the FY 1999 Financial Statements for Other Defense Organizations (ODO) - General Funds, 6/23/2000	DFAS is implementing procedures to remove duplicate and abnormal balances. Any remaining abnormal balances are to be accompanied by footnotes that fully disclose the causes for these balances. DFAS is documenting the processes used to compile the ODO financial statements.	Although improvements have been made, the size and complexity of the systems involved are impeding progress.	DFAS

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D-2000-158 , General Property, Plant, and Equipment Databases Supporting the National Security Agency (U), 6/29/2000	Report is classified.	Corrective actions continue.	NSA
D-2000-166 , Compilation of the FY 1999 Financial Statements for Air Force and Other Defense Organizations Working Capital Funds, 7/21/2000	New systems to be implemented will comply with the US Standard General Ledger and eliminate the need for entries to balance report collections and expenditures and for making prior payment adjustments.	Complexity of system and coordination issues has delayed the system development process.	DFAS
D-2000-170 , Disposal of Range Residue, 8/4/2000	Improve policies and procedures to dispose of expended munitions and prevent public access to live ammunition and other dangerous articles.	Coordination issues continue to delay finalization of policy.	USD(AT&L)
D-2000-177 , Revaluation of Inventory for the FY 1999 Department of the Navy Working Capital Fund Financial Statements, 8/18/2000	USD(C) has proposed to concentrate on evaluating policy and systems changes that would be required to implement and support a latest acquisition cost valuation method and a direct cost historical valuation method. These would be long-term solutions for improving the financial presentation of net inventory.	Long-term corrective action on target.	USD(C)
D-2000-179 , Department Level (D-L) Accounting Entries for FY 1999, 8/18/2000	A draft strategy requires accounting entry vouchers to be certified. For FY 00, reporting system improvements will allow DFAS to measure the progress in eliminating unsupported entries, and minimize other D-L accounting entries.	Long term corrective actions on target.	USD(C)
D-2000-188 , Contract Management for the National Defense Center for Environmental Excellence, 2/14/2000	DCMA is to implement the DCAA recommendations and ensure the contractor adjusts its rates accordingly.	Extensive time needed to receive and audit contractor cost proposals and negotiate rates.	DCMA

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D-2000-193 , Administration and Management of the Civil Air Patrol-Phase II, 9/27/2000	Civil Air Patrol (CAP) is revising regulations, an internal audit plan, and internal policies and procedures to improve administration and management of the CAP. CAP is also developing a national database that shows currency of active pilots.	Delays have been experienced in coordinating and issuing policy.	CAP
D-2001-007 , Foreign National Security Controls at DoD Research Laboratories, 10/27/2000	Revise SECNAV Instruction 5510.34, to include requirements for Government facilities being visited by foreign nationals to disseminate foreign disclosure restrictions contained in visit authorization letters to the proposed hosts of the visit.	Delays have been experienced in coordinating and issuing policy guidance.	Navy
D-2001-015 , Defense Environmental Security Corporate Information Management Program, 12/7/2000	Issue instructions to implement DoD Directive 4715.1; determine DoD corporate environmental reporting requirements and mechanisms and plan implementation.	Long-term management actions on schedule.	USD(AT&L)
D-2001-016 , Security Controls Over Contractor Support for Year 2000 Renovation, 12/12/2000	Navy and DISA will assess the potential risks to the security baseline requirements for renovated systems for which risk assessments are lacking and accredit or reaccredit renovated systems in accordance with DoD guidance.	Personnel reductions have delayed implementation of corrective actions.	Navy, DISA
D-2001-018 , Management and Oversight of the DoD Weather Program, 12/14/2000	Services evaluate data requirements and update policy.	Delays have been experienced in coordinating and issuing policy.	Army, Navy
D-2001-025 , Summary Report on DoD Hazardous Waste Disposal Costs, 12/22/2000	Issue uniform policy to components for preparing environmental compliance and recurring costs estimates and include hazardous waste disposal definition consistent with the established measure of merit.	Delays have been experienced in coordinating and issuing policy.	USD(AT&L)

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D-2001-032 , Use of Extra Criteria for Major Defense Systems, 1/10/2001	Develop exit criteria for the Multi-functional Information Distribution System-Low Volume Terminal and Virginia class submarine. Also, revise the consolidated Acquisition Reporting System instructions to include a requirement to use exit criteria as an indicator.	Approval of updated guidance delayed until initiatives to improve Defense Acquisition Executive Summary are complete.	USD(AT&L)
D-2001-035 , Management of Potentially Inactive Items at the Defense Logistics Agency, 1/24/2001	Perform study to separate costs of maintaining inactive items from active items. Depending on results, DLA may examine viability of the Defense Inactive Item Program as a cost effective and beneficial program to DoD.	Upgrading of the SAMMS computer systems requires a major data cleansing effort.	DLA
D-2001-037 , Collection and Reporting of Patient Safety Data Within the Military Health System, 1/29/2001	Develop, test and deploy Patient Safety Reporting Program.	Additional time is required to establish, deploy, and train personnel in the use of new software.	ASD(HA)
D-2001-040 , Bulk Fuel Infrastructure Maintenance Repair, and Environmental Project Review Process: Pacific, 1/30/2001	Air Force Instruction 23-201 will be modified to establish guidance for managing overseas fuel-related maintenance, repair, and environmental projects.	Extensive time needed to issue a coordinated policy.	AF
D-2001-044 , Accreditation Policies and Information Technology Control at the Enterprise Center Mechanicsburg, 2/9/2001	Update DISA Instruction 630-230-19, "Automated Data Processing, Information Systems Security Program," July 1996 to establish and implement specific guidance related to the reaccreditations of sites.	Delays have been experienced in coordinating and issuing policy.	DISA
D-2001-049 , Abnormal General Ledger Account Balances for Other Defense Organizations Reported by DFAS Cleveland, 2/13/2001	Research and correct the Treasury Index on the Cash Management Report and associated Cumulative Error Listing. Generate hard copy journal vouchers for future error corrections in the Standard Accounting and Reporting System - Headquarters Claimant Module.	Competing priorities initially. Corrective actions now on target.	DFAS

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D-2001-059 , Armed Service Blood Program Readiness, 2/23/2001	Actions are underway to improve the Defense Blood Standard System (DBSS) to ensure that the system meets all user and mission needs, ensures asset accountability and inventory accuracy. Also actions are underway to ensure consistent deployment and use of DBSS throughout DoD.	Extensive time needed to establish policy and implement other changes.	Army, Navy, AF
D-2001-065 , DoD Adjudication of Contractor Security Clearances Granted by the Defense Security Service, 2/28/2001	Identify and process additional adjudicative resources for Defense Industrial Security Clearance Office. The DSS academy to address the establishment of continuing education standards to facilitate the certification of professional adjudicators. The new policy on professional certification and continuous training program for all adjudicators will be published in the revised DoD 5200.2-R.	Competing management priorities.	DSS, USD(I)
D-2001-071 , Navy Financial Reporting of Government-Owned Material Held by Commercial Shipyard Contracts, 3/2/2001	Revise the Defense FAR Supplement (DFARS) to include the updated DoD property accountability procedures.	Actions redirected by Financial Management Enterprise Architecture are now in process.	USD(AT&L)
D-2001-072 , Industrial Prime Vendor Program at the Naval Aviation Depot North Island, 3/5/2001	Establish a plan for how industry will support bench stock material requirements and determine whether the industrial prime vendor program should continue.	Additional time was needed to refine the support concept.	DLA
D-2001-074 , Cooperative Threat Reduction Program, 3/9/2001	Monitor the use of revenue generated from U.S. funded activities in Russia and the Ukraine.	Assessment of an untried technological approach and lengthy negotiations with foreign-based entities.	USD(P)
D-2001-075 , Standard Procurement System Use and User Satisfaction, 3/13/2001	Establish a working group to develop performance measures that address Standard Procurement System mission goals and functionality requirements. AT&L is beginning efforts to determine the best strategy and alternatives for meeting requirements of the last identified block of the Standard Procurement System.	Awaiting completion of analysis of alternatives considering various options to address requirements.	USD(AT&L)

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D-2001-081 , Financial Reporting at the Washington Headquarters Services, 3/15/2001	Modify the Washington Headquarters Services Allotment Accounting System to correctly post prior period adjustments. Also, develop query interfaces for each general ledger account that can be used to research detailed transactions supporting account balances.	Staff turnover issues have delayed actions. However, corrective action continues.	WHS
D-2001-085 , 2000 DoD Financial Management Improvement Plan, 3/19/2001	The Department will define departmental internal control structures and standard processes as a part of the financial management enterprise architecture, which will also serve as the basis for the future Financial Management Improvement Plans. USD(C) and USD(P&R) will co-chair a working group that will conduct a FM workforce review.	Corrective action on hold pending implementation of DoD Financial Management Modernization Program.	USD(C)
D-2001-088 , DoD Involvement in the Review and Revision of the Commerce Control List (CCL) and the U.S. Munitions List, 3/23/2001	Work with Commerce to facilitate periodic interagency reviews of the CCL, including the appropriate treatment for any items currently controlled unilaterally by the US, and whether any of the countries to which controls apply should be removed from the Commerce Country Chart.	Management corrective actions are on target.	USD(P)
D-2001-099 , Use of Contract Authority for Distribution Depots by the Defense Logistics Agency, 4/16/2001	Modify the FMR, volume 11B, to include procedures that require that all use of contract authority is adequately posted and liquidated in the DoD working capital fund accounting records at the activity group level.	Extensive time required for consideration of changes to financial policies.	USD(C)
D-2001-101 , Control Over Electronic Document Management, 4/16/2001	Establish improved access controls and conduct and document required security reviews.	Delayed implementation of automated system improvements.	DFAS

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D-2001-108 , Recognition of Revenues and Expenses in the Defense Business Management System, 4/27/2001	Ensure that the new financial management system has appropriate controls to recognize revenue during the period the revenue was earned and that it generates management reports to the Naval Supply Systems Command and Navy Working Capital Fund Organizations for monitoring the timeliness of the recording of expenses and accounts payable within the system.	Corrective actions are on target.	DFAS
D-2001-109 , DoD Payroll Withholding Data for FY 2000, 4/27/2001	Develop the capability to maintain, and query, historical payroll data.	Management stated that the recommended action was too costly. Alternative long term action is being taken.	DFAS
D-2001-111 , Acquisition of the Airborne Laser Mine Detection System, 5/2/2001	The Navy plans to review and revalidate the Airborne Laser Mine Detection System (ALMDS) Operational Requirements Document in the normal course of preparation for the planned FY 04 Milestone III decision.	HAC mark in Aug 2003 cut the ALMDS LRIP funding for FY 2004, causing a one-year delay in Milestone C decision and IOC.	Navy
D-2001-118 , Public/Private Competition at Lackland Air Force Base, 5/14/2001	A change to the DFARS Supplement will be initiated. The DoD A-76 will be updated. An interim guidance update will reflect DoD policy for legal support to the Most Efficient Organization Study Team.	Extensive time needed to develop, coordinate and issue policy not addressed by the Revised OMB Circular A-76.	USD(AT&L)
D-2001-121 , Use of the DoD Joint Technical Architecture in the Acquisition Process, 5/14/2001	Establish process to verify JTA standards in acquisition documents and incorporate additional language needed in DoD 5000 series guidance.	Extensive time needed to issue the Acquisition Management Guidebook for revised guidance issued.	USD(AT&L)
D-2001-124 , U.S. Special Operations Command Use of Alternative or Compensatory Control Measures (U), 5/18/2001	Report is classified.	Delays have been experienced in coordinating and issuing policy.	JS

Report Number/Title/Date	Description of Action	Reason Action Not Completed	Principle Action Office
D-2001-129 , Contracting Officer Determinations of Price Reasonableness When Cost or Pricing Data Were Not Obtained, 5/30/2001	Services and DLA implementing procedures to better assess price reasonableness and institute corrective actions for future contracts.	Components having difficulty validating manpower requirements.	Navy, AF, DLA
D-2001-131 , Items Excluded From the Defense Logistics Agency Defense Inactive Items Program, 5/31/2001	Perform study to separate costs of maintaining inactive items from active items. Depending on results, DLA may examine viability of the Defense Inactive Item Program as a cost effective and beneficial program to DoD.	Upgrading of the SAMMS computer system requires a major data cleansing effort.	DLA
D-2001-133 , Deliberate Planning for Meteorological and Oceanographic Operations (U), 6/1/2001	Report is classified.	EUCOM timelines for updating plans have been delayed.	EUCOM
D-2001-135 , Prevalidation of Intergovernmental Transactions, 6/6/2001	DFAS conducted a review of the prevalidation of intergovernmental transactions, including a new justification and approval process for system changes and the use of manual systems that are expensive and of limited effectiveness. A plan was to be developed by the end of February 2002 that would develop cost-effective automated methods to expand prevalidation.	Extensive time required for consideration of changes to financial policies.	DFAS
D-2001-136 , Defense Clearance and Investigations Index Database, 6/7/2001	Establish procedures to revise and maintain DCII user codes. Issue guidance to implement OPM policy on constructing pseudo social security numbers for foreign nationals require CAFs to determine the use of pseudo SSNs for payroll purposes and use these numbers in the DCII.	Additional time needed to develop and implement procedures.	USD(I)
D-2001-141 , Allegations to the Defense Hotline on the Defense Security Assistance Management System, 6/19/2001	Amend DoD 5200.2-R to address security investigation requirements for foreign national contractor employees. Delay additional work until security investigations obtained by contractor employees and existing computer code is tested.	Extensive time needed to coordinate and issue policy. Final independent code review delayed due to delay in completion of contract.	ASD(NII), DSCA

Report Number/Title/Date	Description of Action	Reason Action Not Completed	Principle Action Office
D-2001-148 , Automated Transportation Payments, 6/22/2001	Issue policy to address information assurance requirements for commercial automated processes. Execute policy when promulgated.	Extensive time needed to coordinate and issue policy.	USD(AT&L), ASD(NII), USD(C)
D-2001-153 , Pentagon Reservation Maintenance Revolving Fund, 7/2/2001	Forms are to be developed to identify the appropriate construction costs to be used in transferring completed projects from the construction in progress account to the real property accounts.	Implementation has been delayed by higher management priorities.	WHS
D-2001-155 , Compilation of the FY 2000 Navy Working Capital Fund Financial Statements, 7/3/2001	DFAS is working on a cash rebuild project that will reinstate the correct starting balances for cash as of December 1994. DFAS will maintain standard operating procedures and documentation to provide an audit trail, and maintain complete documentation and audit trails for budgetary information.	Long-term corrective action on target.	DFAS
D-2001-157 , Global Command and Control System - Meteorological and Oceanographic Application, 7/11/2001	Designate executive agent for the joint meteorological and oceanographic application with responsibilities for ensuring that potential mission application software adequately satisfies requirements and meets acceptable standards before it goes through Global Command and Control System integration testing.	Extensive time needed to execute MOA's for two executive agents designated.	ASD(NII)
D-2001-158 , Compilation of the FY 2000 Army General Fund Financial Statements at the Defense Finance and Accounting Service Indianapolis (Sustaining Forces), 7/13/2001	Management will establish an action plan to meet revised requirements for reconciling suspense accounts.	Long-term corrective action continues.	DFAS

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<p>D-2001-162, Accounting Entries Made in Compiling the FY 2000 Air Force General Financial Statement, 7/26/2001</p>	<p>DFAS has developed an operational instruction for the preparation of Status of Funds (SOF) journal vouchers (JV). New systems, including GAFS-R, will automate computations now made by JV, automate interface of external data sources and eliminate the SOF JV that had inadequate documentation. DFAS was to utilize the Chief Financial Officers Reporting System "preview" capability and leave JV in "unapproved" status until management signatures are obtained.</p>	<p>Competing management priorities.</p>	<p>DFAS</p>
<p>D-2001-163, Accounting Entries Made in Compiling the FY 2000 Financial Statements of the Working Capital Funds of the Air Force and Other Defense Organizations, 7/26/2001</p>	<p>The Department adopted an approved historical cost method for the valuation of inventory. As such, the Department plans to revise the FMR, Volume 11B upon completion of the working group's development of revised policies. The FMR, Volume 4, Chapter 3 will be revised to require the recoding of accounts receivable, where applicable, for credits due when DoD working capital fund supply activities return inventory items to other governmental entities or commercial vendors because the items delivered do not conform to the purchase agreement or contract.</p>	<p>The DoD Financial Management Enterprise Architecture delayed corrective actions. However, corrective actions are now going forward.</p>	<p>USD(C)</p>
<p>D-2001-164, Implementation of a Cost-Accounting System for Visibility of Weapon Systems Life-Cycle Costs, 8/1/2001</p>	<p>USD(AT&L) and USD (C) will define and build a financial architecture that incorporates cost accounting requirements for weapon system life cycle costs. 4/5/04: USD(AT&L) closed; USD(C) remains open; ECD FY/04</p>	<p>Complex accounting issues.</p>	<p>USD(C)</p>
<p>D-2001-165, Defense Department Reporting System - Audited Financial Statements, 8/3/2001</p>	<p>Modify the Audited Financial Statements module to identify any journal voucher where the approver normally does not have the proper approval level for the journal voucher.</p>	<p>Long-term corrective action on target.</p>	<p>DFAS</p>

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D-2001-169 , U.S. Special Operating Command's Reporting of Real and Personal Property Assets on the FY 2000 DoD Agency-wide Financial Statements, 8/2/2001	A revision to FMR, Vol 4, Chapter 6 will include language to more clearly assist the DoD Components in identifying what organization should report specific property, equipment, and on reporting of automated processing equipment. SOCOM has started planning to baseline all reportable property, plant, and equipment, however, design of the infrastructure is dependent upon guidance issued by USD(C).	Extensive time required for consideration of changes to financial policies.	USD(C), SOCOM
D-2001-170 , U.S. Transportation Command's Reporting of Property, Plant, and Equipment Assets on the FY 2000 DoD Agency-wide Financial Statements, 8/3/2001	System changes are to be developed to differentiate among USTRANSCOM, Air Mobility Command (AMC), and Defense Courier Service (DCS) assets. Reconcile all system records for USTRANSCOM, AMC and DCS against actual assets, and a prior period adjustment will be made. Electronic interfaces are to be created between the logistics and the accounting systems for transferring data.	System changes take considerable time to be implemented.	TRANSCOM
D-2001-171 , Industrial Prime Vendor Program at the Naval Aviation Depot-Cherry Point, 8/6/2001	Develop alternatives to keep conventional bench stock support competitive by either reducing costs or increasing bench stock sales.	Additional time was needed to refine the support concept.	DLA
D-2001-175 , Application of Year 2000 Lessons Learned, 8/22/2001	Develop a strategic or business plan for information assurance; implement a mission or business area approach for managing information technology investments; and implement an oversight process for complete repair, retirement, or replacement of systems that used date-windowing techniques during the year 2000 conversion process.	Extensive time needed to develop, coordinate, and issue guidance.	ASD(NII)
D-2001-179 , Military Aircraft Accident Investigation and Reporting, 9/10/2001	Simplify access to safety information management system.	Long-term alternative management action on schedule.	Navy

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D-2001-181 , Compilation of the FY 2000 DoD Agency-Wide Financial Statements, 9/19/2001	Incorporate FACTS 1 due dates into the DoD FMR.	Competing management priorities.	USD(C)
D-2001-187 , Defense Logistics Agency Items Supporting Obsolete Army Weapon Systems, 9/27/2001	Perform study to separate costs of maintaining inactive items from active items. Depending on results, DLA may examine viability of the Defense Inactive Item Program as a cost effective and beneficial program to DoD.	Corrective action suspended pending results of followon audit.	DLA, Army
D-2001-188 , Revised DoD Progress Payment Practices, 9/27/2001	DCMA agreed to refer the issue of contract review and handling for payment instructions to the joint DCMA-DFAS DD 1716 Team, which is working to improve the method for generating, distributing, and tracking the DD Form 1716, Contract Data Package Recommendation/Deficiency Report.	Management corrective actions are on target.	DCMA
D-2001-189 , Multiple Award Contracts for Services, 9/30/2001	Management will reemphasize the need to ensure competition on multiple award tasks and delivery order contracts. The Director, Defense Procurement and Acquisition Policy will propose a DFARS change that will require notice of all orders over \$100,000 for services and consideration of offers unless the FAR 16.505(b)(2) exception is satisfied and documented. The DD 350 Contract Reporting System will be used to track each contracting organizations use of competition in awarding task orders.	Management corrective actions are on target.	USD(AT&L)

Report Number/Title/Date	Description of Action	Reason Action Not Completed	Principle Action Office
D-2002-004 , Import Processing of DoD Cargo Arriving in the Republic of Korea, 10/4/2001	Revise USFK Regulation 55-72 to require improvements in processing shipping forms and cargo, maintain current information on customs clearance personnel, require the use of commercial carriers when available, and establish procedures to pay storage charges to Korea in Korean currency. Implement a cost-efficient system for the automated processing of customs forms using an electronic data interchange.	Corrective actions are on target.	USFK
D-2002-005 , Management of the Joint Simulation System, 10/5/2001	Joint Simulation System (JSIMS) Program Manager is developing an overall security policy, including a security procedures manual and security standard operating procedures. Program Office is also developing a Program Office Estimate of JSIMS Life Cycle Cost Estimate.	JSIMS development effort has been terminated. Hardware and software are being transferred to the Joint Warfighting Center at USJFCOM.	USD(AT&L)
D-2002-006 , Classified Chemical and Biological Report (U), 11/16/2001	Report is classified.	Extensive time needed to coordinate policy and integrate new controls into existing systems.	Army, Navy
D-2002-008 , Controls Over the Computerized Accounts Payable System (CAPS) at Defense Finance and Accounting Service Kansas City (DFAS-KC), 10/19/2002	Improve guidance on criteria for proper and accurate receipt and invoice documentation; improve organizational structures to provide better internal controls, especially separation of duties; and use an approved sampling plan for contracts and miscellaneous payments.	Management corrective actions are on target.	DFAS, MC
D-2002-009 , Valuation of Inventories in the Defense Logistics Agency Standard Automated Materiel Management System, 10/22/2001	DLA Inventory Control Points will need to correct all inaccurate acquisition costs in order to quantify the benefits to DLA customers for the reduced sales prices. Corrections should be made in time for the FY 03 standard prices.	Buy history not completed when originally anticipated, deferring corrective actions a year.	DLA

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D-2002-010 , Armed Services Blood Program Defense Blood Standard System, 10/22/2001	Establish a plan to ensure user critical functional requirements are incorporated into Defense Blood Standard System (DBSS). Establish controls to ensure thorough review on system change requests. Establish competency assessment requirements for system administrators and develop a tri-Service training program. Establish procedures to ensure effective deployment of DBSS system upgrades and management of blood product inventory.	Normal progression of system development and changes.	Army, Navy, AF, ASD(HA)
D-2002-013 , Defense Supply Center Richmond Qualified List Program, 11/20/2001	Fully implement DoD review, qualification, and recertification requirements for the Defense Standardization Program (DSP). Provide deficiency report information to Qualified Products List (QPL) managers. Ensure current information is included in the QPL data base.	Additional time will be needed to develop an alternative approach to address the data base issues. Longer term personnel and training actions are on schedule.	DLA
D-2002-019 , Checks Issued Difference for Deactivated Disbursing Station, 11/28/2001	Establish a standard method to clear checks issued differences when reconciliation data are not available; Clear checks issued differences from the Comparison Report at locations where the data needed to complete the checks issued reconciliation are not available.	Long-term corrective action on target.	DFAS
D-2002-020 , Audit Report on General Officer Quarters at Kaneohe Bay, Hawaii Camp Pendleton California, and Albany, Georgia, 12/5/2001	Congressional committees will be notified of ADA violation and appropriate corrections will be made. SecNavInst 11101.73B will be revised and a resident guide will be developed.	Normal time to revise policies, conduct an investigation and report the results.	Navy
D-2002-024 , Navy Fleet Hospital Requirements (U), 12/12/2001	Report is classified.	Corrective actions are on target.	Navy, PACOM
D-2002-028 , Classified Report on Environmental Program - Japan (U), 12/28/2002	Report is classified.	Corrective actions are on target.	PACOM

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D-2002-032 , Audit of Major Defense Acquisition Program Cycle Time, 12/28/2001	AT&L is redesigning the Consolidated Acquisition Reporting System.	Corrective action was scheduled for completion in 2003.	USD(AT&L)
D-2002-034 , Military Health System Optimization Plan, 12/31/2001	Two new groups, Medical-Personnel (MED-PERS) Committee and Health Professionals' Incentives Integration Board (HPIIB) have been established. One of the matters under their consideration will be opportunities for resource sharing between the Services.	Charters being established for MED-PERS Committee and HPIIB.	ASD(HA)
D-2002-035 , Protection of Strategic Systems Against Radio Frequency Threats (U), 1/4/2002	Report is classified.	Corrective actions are on target.	USD(I)
D-2002-036 , Acquisition of the Naval Fires Control System (NFCS), 1/8/2002	The Navy is going to update the Operational Requirements Document (ORD) and the Surface Test and Evaluation Master Plan. Upon receipt of the updated ORD for NFCS, the Joint Staff office will review and certify the document for interoperability and coordinate it accordingly.	Actions ongoing -- awaiting system Initial Operating Capability for completion.	Navy
D-2002-038 , Financial Reporting for the Other Defense Organization General Funds at the Defense Finance and Accounting Service San Antonio, 1/14/2002	Review all abnormal general ledger accounts; determine the cause of net abnormal balances; document the causes of net abnormal balances and when possible correct the balances; and correct abnormal balances generated by business process anomalies.	Long term corrective actions on target, but not yet validated by OIG CFO audit.	DFAS
D-2002-039 , Automation of the DoD Export License Allocation Review Process, 1/15/2002	A variety of alternative approaches and concepts are being considered to determine if existing automation options can be used in developing a DoD-wide automated system for the dissemination and review of export licenses. Other projects are being conducted in cooperation with the Defense Technology Security Administration, and Commerce, to assess the needs of interagency partners.	Management corrective actions are on target.	USD(P), AF

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D-2002-048 , General and Flag Officer Quarters at Fort Shaffer, Hawaii and Fort McPherson, Georgia, 2/12/2002	An investigation into a potential ADA violation was initiated. Policy on reviewing maintenance and repair expenditures will be incorporated into the next revision of AR 210-50.	Time required to conduct an investigation and update policies.	Army
D-2002-049 , Classified Report on Environmental Program (U), 2/14/2002	Report is classified.	Corrective actions are on target.	PACOM
D-2002-052 , Meteorological and Oceanographic Support from Continental United States Based Support Centers, 2/19/2002	Navy and Air Force plan to develop a joint Weather Research Forecast (WRF) model and, through the Joint Meteorological and Oceanographic (METOC) and Interoperability Board (JMIB), develop a single geospatially-correct, four-dimensional environmental characterization of the battle space to provide the warfighter with information and knowledge about his operating environment. Applicable guidance will be revised to include procedures for METOC acquisition category II and below programs.	Normal time needed to develop and coordinate models, plans, and revised guidance.	Navy, AF
D-2002-056 , Controls Over Vendor Payments Made for the Army and Defense Agencies Using the Computerized Accounting Payable System (CAPS), 3/6/2002	Revise the FMR to incorporate the requirements of 5 CFR 1315. Improve security over access to CAPS. Identify and standardize use of best practices to detect weaknesses in the vendor payment process. Provide for automated interfacing of data between CAPS and both the Standard Procurement System (SPS) and the Corporate Electronic Funds Transfer (CEFT) database.	Management corrective actions are on target.	USD(C), DFAS
D-2002-060 , Management of Terminal Items at the Defense Logistics Agency, 3/13/2002	Revise procedures to review terminal items with no registered users in the Defense Inactive Item Program (DIIP), for obsolescence, and quantify the number of terminal National Stock Numbers (NSNs) that are determined to be obsolete after NATO and foreign governments review the NSNs.	Corrective action delayed due to upgrade in operating systems and information found in the system.	USD(AT&L)

Report Number/Title/Date	Description of Action	Reason Action Not Completed	Principle Action Office
D-2002-071 , DoD Management of the North Atlantic Treaty Organization Security Investment Program, 3/26/2002	EUCOM will track each prefinanced project in the NATO Security Investment Program, including the likelihood of NATO Infrastructure Committee authorization, actions required to obtain NATO authorization, and an estimated recoupment date. The Navy will amend Instruction 4000.2A.	Long-term corrective action on target.	EUCOM, Navy
D-2002-073 , Financial Management Ending Balance Adjustments to General Ledger Data for the Army General Fund, 3/27/2002	Use transactional data from a centralized database to populate general ledger accounts in the Defense Departmental Reporting System (DDRS) Budgetary and continue efforts to analyze and correct causes for current adjustments; Use transactional data to generate a general ledger data file for DDRS Budgetary.	Slow system development process.	DFAS
D-2002-075 , Controls Over the DoD Purchases Card Program, 3/29/2002	Improve oversight and management controls over DoD purchase cards.	Corrective actions are on target.	USD(AT&L)
D-2002-076 , Funding Invoices to Expedite the Closure of Contracts Before Transitioning to A New DoD Payment System, 3/29/2002	Revise chapter 10, Appendix B, number 7, "Accounting Requirements for Expired and Closed Accounts, " to require that the DoD activity to which a program has transferred be responsible for providing current-year funding.	Corrective actions are on target.	USD(C)
D-2002-077 , Bulk Fuel Infrastructure Military Construction Project Review Process, 4/3/2002	Establish procedures for Major Commands to use in validating MILCON and MR&E projects.	Normal time needed to issue a new policy document.	AF
D-2002-079 , Delivery and Receipt of DoD Cargo Inbound to the Republic of Korea, 4/15/2002	USFK Regulation 55-355, "Korea Traffic Management" is being revised to include specific cargo delivery information. The Eighth U.S. Army Command Inspection Program (CIP) will include delivery information. A new checklist will be incorporated into the CIP schedule by the 4th Quarter FY 2002.	Cargo delivery information needs to be incorporated into the U.S. Eighth Army Command Inspection Program.	USFK

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D-2002-080 , Quality Deficiency Reporting Procedures for Naval Repair Parts, 4/5/2002	Navy will develop and deploy an automated information technology system that will centrally account and control Process Quality Deficiency Reports. To improve notification procedure process, the Navy Inventory Control Point and Supply Systems Command will assess development of a more timely media such as emails and messages as well as web site postings.	Corrective actions are on target.	Navy, DLA
D-2002-084 , Guidance for the Global Command and Control System Common Operational Picture (U), 5/1/2002	Report is FOUO.	Extensive time needed to coordinate and issue guidance	JS, PACOM, SOUTHCOM, EUCOM
D-2002-088 , Acquisition of the Joint Service Lightweight Standoff Chemical Agent Detector, 5/10/2002	Implement improvements in defining operational requirements, evaluating production readiness, test planning, and program funding.	Extensive time needed to revise program acquisition strategy.	USD(AT&L)
D-2002-090 , Final Report on the Evaluation of the Defense Supply Center Columbus Qualified Products List and Qualified Manufacturers List Program, 5/14/2002	USD(AT&L) will review the underlying policy provisions concerning Qualified Manufacturer's List/Qualified Products List (QML/QPL) Programs contained in DoD Manual 4120.24-M and develop and issue clarifications as needed. A risk assessment of Defense Supply Center Columbus has been completed and the results are being entered into the QPL database, which will allow prioritization of audits.	Normal time needed to develop and coordinate policy revision.	USD(AT&L), DLA

Report Number/Title/Date	Description of Action	Reason Action Not Completed	Principle Action Office
<p>D-2002-091, Accountability and Control of Materiel at the Corpus Christi Army Depot, 5/21/2002</p>	<p>Comply with Army guidance for storage of maintenance materiel and the preparation and submission of management reports for review; price materiel stored in the Automated Storage and Retrieval System (ASRS) that has no extended value or added to the physical inventory, and identify the value of inventory excess to prevailing requirements; inventory, record, identify valid needs, and turn in or transfer materiel stored in work centers; perform annual physical inventory and quarterly reviews of all materiel stored in ASRS to determine if valid requirements exist; review projects at various stages; reconcile ASRS and Maintenance Shop Floor System files; prepare and submit reports for various reports for ASRS.</p>	<p>Action is ongoing, however, constrained by personnel reduction and competing priorities.</p>	<p>Army</p>
<p>D-2002-095, Chemical and Biological Defense Individual Protective Equipment in Central Command and European Command Area (U), 5/30/2002</p>	<p>Report is classified.</p>	<p>Extensive time needed for system development and testing USD(AT&L) and for policy coordination and issuance (Army). Action to address requirements issues on hold pending OSD guidance (Army and Navy).</p>	<p>USD(AT&L), Army, Navy</p>
<p>D-2002-098, Army Website Administrative Policies and Practices, 6/5/2002</p>	<p>Establish a training requirement and curriculum for Army web administrators.</p>	<p>Funding issues caused initial delay. Action is now on track.</p>	<p>Army</p>
<p>D-2002-101, Human Capital Compensation Policies and Procedures for Selected Nonappropriated Funds Childcare Provider, 6/10/2002</p>	<p>Strengthen management controls over childcare provider database (Navy) and salary payments (USMC).</p>	<p>Long-term corrective actions on schedule (Navy). Extensive time needed to coordinate and issue policy (USMC).</p>	<p>Navy, MC</p>

Report Number/Title/Date	Description of Action	Reason Action Not Completed	Principle Action Office
D-2002-103 , Certification of the Reserve Component Automation System (RCAS), 6/14/2002	Establish functional performance measures to better assess both the initial and future impact of RCAS on supported functionalities.	Corrective actions are on target.	Army, NGB
D-2002-107 , Army Transition of Advanced Technology Programs to Military Applications, 6/14/2002	Research Development and Engineering Centers (RDECs) should incorporate performance goals necessary for technology transitions in Science & Technology project managers performance plans. Positive and clear incentives should be introduced in order to foster advancement as rapidly as possible.	Implementation of recommendation has been delayed due to a reorganization to a new Army Materiel Command subordinate command.	Army
D-2002-108 , Standard Procurement System Certification and Accreditation Process, 6/19/2002	Identify the responsibilities the certification and accreditation actions or steps to be performed by the program manager and Component organizations, to include Component sites to which the system is deployed.	Extensive time needed to coordinate and issue policy.	ASD(NII)
D-2002-109 , Army Claims Service Military Interdepartmental Purchase Requests, 6/19/2002	Recoup the Unliquidated obligation in FY 1997- 2000 O&M funds from GSA and deobligate the funds.	The remaining funds will be recouped and deobligated upon the completion of the on-going FY 2000 contract on which the funds are being used.	Army
D-2002-110 , Policies and Procedures for Military Interdepartmental Purchaser Requests WHS, 6/19/2002	Improve procedures for processing MIPR, reviewing unliquidated obligations (ULO) and deobligating excess funds.	Management corrective actions are on target.	DAM, WHS
D-2002-112 , Report of the Industrial Prime Vendor Program at the Air Force Air Logistics Center, 6/20/2002	A new sourcing process will include an automated sourcing model capable of evaluating individual items and determining the best value source, including existing inventory.	Sourcing model developed but testing revealed several limitations that require enhancements.	DLA
D-2002-113 , Controls Over the Computerized Accounts Payable System at Defense Finance and Accounting Service Columbus, 6/21/2002	Require the manual certification of all vendor payments made using the Computerized Accounts Payable System for Windows, until implementation of a sampling plan for vouchers under \$2500.	Implementation has been delayed by a change in management strategy.	DFAS

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D-2002-116 , Review of FY 2001 Financial Statements for the National Security Agency (U), 6/25/2002	Report is classified.	Long-term action continues.	NSA
D-2002-117 , Review of FY 2001 Financial Statement for the Defense Intelligence Agency (U), 6/25/2002	Report is classified.	Long-term action continues.	DIA
D-2002-119 , Defense Hotline Allegations Regarding the Military Airspace Management System, 6/25/2002	MILDEPs develop draft policies and procedures to standardize special use airspace scheduling process. Policy Board will review draft guidance.	Normal time required to draft policies and procedures.	ASD(NII)
D-2002-121 , Security: Controls Over Biological Agents (U), 6/27/2002	Report is classified.	Extensive time needed to coordinate policy and delay due to reorganization.	USD(I)
D-2002-122 , Environmental Community Involvement Program at Test and Training Ranges, 6/28/2002	A new DoD Directive "Outreach and Community Involvement for Sustainable Readiness" is being developed to address the issues raised by the audit. An individual will be detailed to OSD to work with the Overarching Integrated Product Team and Working Integrated Product Team to implement the new Directive and Instruction.	Normal time required to develop and coordinate policy document.	USD(P&R)
D-2002-126 , Acquisition of the Evolved Seasparrow Missile, 7/5/2002	Include a cost element in the missile life-cycle cost estimate to account for demilitarization and disposal costs for the missile; revise the ORD to designate interoperability as a key performance parameter; and develop a C4I support plan.	Competing management priorities.	Navy

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<p>D-2002-127, Audit Report on DoD Compliance with Internal Use Software Accounting Standards, 7/9/2002</p>	<p>Applicable guidance will be used to facilitate distinguishing between capitalized and expensed software when recording transactions; a system will be implemented to capture material internal software costs; a data call process will be used to request the necessary information to determine which software should be capitalized and which should be expensed; DFAS will comply with existing DoD regulations mandating property accountability records for software over \$5000, and will use property records as the subsidiary ledger for internal use software.</p>	<p>Long-term corrective action on target.</p>	<p>DFAS, USD(C)</p>
<p>D-2002-131, Terminal Items Managed by the Defense Logistics Agency for the Navy, 7/22/2002</p>	<p>DLA will modify the existing stock retention policy to review terminal items that are excluded from the Defense Inactive Program (DIIP). In addition, plan to complete a new study to quantify the costs of inactive items.</p>	<p>Corrective action delayed due to upgrade in computer operating system.</p>	<p>DLA</p>
<p>D-2002-139, Naval Facilities Engineering Command Environmental Services Contracting, 8/20/2002</p>	<p>The Navy initiated an effort to review and rewrite the Navy Acquisition Procedures Supplement (NAPS). As part of the effort, the Navy will address documentation and approval requirements for services contract periods in excess of 5 years.</p>	<p>Corrective actions are on target.</p>	<p>Navy</p>
<p>D-2002-140, Measurement of Water Usage by DoD Components Served by the DC Water and Sewer Service, 8/20/2002</p>	<p>Locate and test water meters that measure water service from the DCWASA; establish and implement procedures to verify that the DCWASA routinely inspects and reports results of inspections for DoD-owned water meters; develop and implement effective controls and procedures to verify that the DCWASA accurately reads water meters to ensure that estimated water and sewer bills are reliable; establish and implement a maintenance program to repair or replace inoperable water meters.</p>	<p>Long-term corrective action on target.</p>	<p>Army, Navy, AF, NGA, WHS</p>

Report Number/Title/Date	Description of Action	Reason Action Not Completed	Principle Action Office
D-2002-143 , Acquisition of the Army Land Warrior System, 9/5/2002	The Project Manager is collecting lessons learned from experiences with the use of other transaction agreements compared to previous experiences with FAR-based contracts, both from the perspective of the Government and the Land Warrior Consortium. This information will be rolled into an after actions report which will be made available to the Army acquisition community following conclusion of the other transaction agreement.	The After Actions Report is going through internal staffing and awaiting final approval.	Army
D-2002-153 , Reprocessed Medical Single-Use Devices in DoD, 9/30/2002	ASD(HA) issue policy and guidance stating the DoD position on the reuse of single-use devices (SUD) and work with FDA to work toward clarifying SUD labeling requirements. The MILDEPs Surgeons General issue implementing guidance consistent with ASD(HA) policy and ensure adequate awareness and training is provided to Military Treatment Facility personnel regarding the use of SUDs.	Significant time required to address/resolve issues with FDA and Services.	ASD(HA)
D-2002-154 , Cooperative Threat Reduction Program Liquid Propellant Disposition Project, 9/30/2002	Take actions with regard to Russia to mitigate the risks of misuse of Cooperative Threat Reduction-provided assistance. Expedite the determination of the future of the heptyl disposition facility and request that Russia use the proceeds from the sale of heptyl for CTR Program purposes. Once access to facilities is obtained, perform more complete inspections of equipment provided to Russia to ensure proper usage.	Management corrective actions are on target.	USD(P), DTRA
D-2003-001 , DoD Integrated Natural Resource Management Plan, 10/1/2002	The Services will work aggressively to coordinate and complete all remaining integrated natural resources management plans.	Corrective actions are on target.	Army, Navy, AF

Report Number/Title/Date	Description of Action	Reason Action Not Completed	Principle Action Office
D-2003-002 , Information Resource Management at the Army Aviation and Missile Command, 10/3/2002	Provide technical monitors with training and guidance in the basic information technology concepts necessary to evaluate the acceptability of products and services obtained from the Information Mission Area Support Services Contract.	Delayed deployment of automated training program.	Army
D-2003-003 , Controls for the DoD Aviation Info-Plan Reimbursement Card, 10/3/2002	The DLA and the Services need to improve management controls and establish written policies that define the methods and responsibilities for using the Aviation Into-Plane Reimbursement Card.	Corrective actions are on target.	DLA, Army, Navy, AF, MC
D-2003-004 , Acquisition of the Advance Deployable System, 10/3/2002	The Navy is in the process of updating the acquisition strategy and all documentation related to the Advanced Deployable System, inclusive of all four blocks. In addition, the program office will complete a life cycle cost estimate for all four blocks.	Navy status response has been delayed.	Navy
D-2003-011 , Implementation of Interoperability and Information Assurance Policies Acquisition of DoD Weapon Systems, 10/17/2002	Implement a process that timely integrates revisions for interoperability and information assurance policies into the applicable DoD and Chairman of the Joint Chiefs of Staff interoperability and information assurance policies.	Extensive time needed to coordinate and issue policy.	ASD(NII)
D-2003-013 , Fuel Cells of the V-22 Osprey Joint Advanced Vertical Aircraft, 10/24/2002	Service safety organization are required to review their procedures, and update them, as appropriate.	Normal time required to develop appropriate policy	USD(AT&L)
D-2003-014 , Facility Specific Controls Over Biological Agents (U), 10/25/2002	Report is classified.	Extensive time needed to coordinate policy and delay due to reorganization.	USD(I)

Report Number/Title/Date	Description of Action	Reason Action Not Completed	Principle Action Office
D-2003-016 , Material Distribution Services Contract at the Defense Distribution Depot Warner Robins Georgia, 10/30/2002	Develop standard operating procedures for Continuing Government Activity (CGA) personnel at the Defense Distribution Depot Warner Robins, Georgia (DDWG). Provide guidance to CGA personnel that stresses the importance of documenting contractor surveillance, including the use of contractor discrepancy reports.	Development of handbook delayed because it is now paralleling the development of relevant training modules.	DLA
D-2003-018 , Validity of Registration in the Central Contractor Registration Database, 10/30/2002	Establish procedures to withhold payments to contractors and vendors until they are properly registered with a valid Tax Identification Number in the Central Contractor Registration database.	Management corrective actions are on target.	DFAS
D-2003-019 , DoD Contractor Subcontracting With Historically Underutilized Business Zones (HUB Zones) Small Businesses, 11/22/2002	Implement a plan for reviewing and verifying prime contractors' reported subcontracting awards to ensure they are made to Small Business Administration-certified contractors.	Initial re-write of the Compliance Review Report did not meet the requirements.	DCMA, USD(AT&L)
D-2003-021 , Export Controls Over Biological Agents (U), 11/12/2002	Report is confidential.	Extensive time is needed to coordinate and issue policy guidance.	USD(P), USD(AT&L), DATSD(C/BD)
D-2003-022 , Independent Assessment of the DoD Subject of Information Technology Systems for Government Information Security Reform Reported for FY 01, 11/14/2002	Establish a standard data integrity program, including performance metrics, that would substantiate reported data on systems security; and require that the Chief Information Officers of DoD Components establish procedures to verify the reliability and accuracy of data that they report on the security posture for their information technology systems.	Planned actions are on schedule.	ASD(NII)

Report Number/Title/Date	Description of Action	Reason Action Not Completed	Principle Action Office
D-2003-025 , DoD Alternative Fuel Vehicle (AFV) Program, 11/22/2003	DoD Directive 4500.36 is being revised and will include the AFV requirements of Executive Order 13149 and relevant statutes. The Department will track biodiesel and ethanol dispensed through the military filling systems through the Fuels Automated System Enterprise Server.	Awaiting revision of DoD Directive 4500.36.	USD(AT&L)
D-2003-029 , Contract Action Awarded to Small Businesses, 11/25/2002	Issue guidance for placing orders on the Federal Supply Schedules (FSS), publish clarifying guidance on using FSS in the Federal Acquisition Regulation, provide training on FSS use, re-emphasize the importance of market research, conduct trend analysis and monitoring of progress made in obtaining competition from multiple sources.	Normal time needed to establish policy and develop training	USD(AT&L)
D-2003-030 , Financial Reporting of Deferred Maintenance Information on Air Force Weapons Systems for FY 2002, 11/27/2002	Develop additional procedures for collecting deferred maintenance information that is reconcilable with detailed year-end execution data. Revise FMR to allow the Air Force to present all material categories of deferred maintenance as major asset classes in accordance with Federal accounting requirements.	Long-term corrective action on target.	AF, USD(C)
D-2003-031 , Defense Contracting Command Washington, 12/5/2002	The Army will issue guidance for timely monitoring, documenting, and recording of continuous learning points; specific Defense Contract Command - Washington (DCC-W) internal guidance will depend on what is contained in the Army-wide guidance. The DCC-W will conduct a manpower analysis.	Corrective actions are on target.	Army, DCC-W

Report Number/Title/Date	Description of Action	Reason Action Not Completed	Principle Action Office
D-2003-033 , Accountability and Control of Materiel at the Naval Air Depot, North Island, 12/19/2002	Naval Air Depot (NADEP), North Island comply with Navy guidance regarding the storage of maintenance materiel at the depot, performance of quarterly reviews of maintenance materiel on hand, and submission of management reports for review. Ensure that NADEP develop and implement an effective management control program. NADEP immediately inventory materiel stored in work center storerooms, record all of the on-hand materiel on accountable records, identify the materiel for which a valid need exists, and return the items with no known requirement to the supply system. NADEP immediately perform the required quarterly reviews of materiel stored in work center storerooms to determine whether valid requirements exist for the materiel.	Action is ongoing.	Navy
D-2003-034 , Adjustments to the Intergovernmental Payments Account, 12/10/2002	Revise the FMR to specify the documentation required to support adjustments from account F3885, 'Undistributed Intergovernmental Payments,' to closed appropriations. The guidance should describe the documentation required to identify the proper expenditure account, the responsible fund holder, and the payment date.	Long term action continues.	USD(C)
D-2003-038 , Management Control Over Proposed Prime Vendor Support for the Army Apache Helicopter, 12/31/2002	The Army will update Army Regulation 5-20, "Commercial Activities Program," to require Army organizations to avoid conflicts of interest, to ensure separation of duties, limit the number of persons who have access to Government contracts, provide appropriate training in the revised OMB Circular A-76, and use legal services to assist in avoiding conflicts of interest.	Awaiting OSD guidance on the implementation of revised OMB Circular A-76.	Army, USD(AT&L)

Report Number/Title/Date	Description of Action	Reason Action Not Completed	Principle Action Office
D-2003-040 , Chemical and Biological Defense Individual Protective Equipment in the Pacific Command Area (U), 12/31/2002	Report is classified.	Long-term corrective actions on schedule	USD(AT&L), PACOM, Army, Navy, AF
D-2003-053 , Navy Transition of Advance Technology Programs to Military Applications, 2/4/2003	The Navy is implementing a requirement for formal memoranda of agreements for all Future Naval Capabilities. In addition, the Office of Naval Research is establishing management controls to include transition of technology and assure that performance measures and indicators will be quantifiable and linked to mission, goals, and objectives of the transition.	Navy status response has been delayed.	Navy
D-2003-054 , Financial Reporting of Deferred Maintenance Information on Army Weapons Systems for FY 2002, 2/3/2003	The Army is issuing guidance requiring all elements of the Army to identify and collect: deferred depot-level maintenance requirements including maintenance that will not be executed due to constraints in depot level maintenance capacity; and deferred field-level maintenance amounts that are material as well as deferred maintenance identified as unexecutable. The Army is developing the capability to capture this data through automated systems.	Long-term corrective action on target.	Army
D-2003-055 , Summary of Defense Finance and Accounting Services Implementation of Government Information Security Reform, 2/21/2003	Direct the Designated Approving Authority to revalidate that each Defense Finance and Accounting Service system is certified and accredited, and oversee the immediate certification and accreditation for those systems that do not have full approval to operate.	Planned actions are on schedule.	DFAS

Report Number/Title/Date	Description of Action	Reason Action Not Completed	Principle Action Office
<p>D-2003-056, Public/Private Competition for the Defense Finance and Accounting Service Military Retired and Annuitant Pay Functions, 3/21/2003</p>	<p>The Center for Naval Analysis is studying the how overhead costs could have impacted the A-76 competition. DFAS is reviewing/studying all aspects of the A-76 competition that impacted the outcome, e.g. feasibility of recompetition, review of available legal options, review of MEO, rewrite and renegotiation of a performance requirements summary, and perform a benchmarking study on customer service requirements.</p>	<p>Normal time needed to conduct study/reviews of actions impacting the final decision to contract out the Military Retired and Annuitant Pay Functions.</p>	<p>DFAS, USD(AT&L)</p>
<p>D-2003-057, Accountability and Control of Materiel at the Naval Air Depot, Jacksonville, 3/5/2003</p>	<p>Naval Air Systems Command enforce the requirements of NAVAIRINST 4400.5A to identify excess materiel that has been inactive for more than 270 days for routine use materiel and 12 months for long lead-time or low demand materiel; quarterly reporting of excess of materiel at Naval Air Depots to ensure excess materiel does not accumulate; and develop policy for Point Of Use Inventory. Naval Air Depot perform physical inventories of materiel stored in all storage locations and adjust inventory records accordingly; perform quarterly reviews of materiel stored in maintenance storerooms to determine whether valid requirements exist for the materiel; and identify excess materiel and return to the supply system.</p>	<p>Action is ongoing.</p>	<p>Navy</p>
<p>D-2003-061, Development of Navy Standard Integrated Personnel System, 3/12/2003</p>	<p>The Navy will remove funds from the Navy Standard Integrated Personnel System (NSIPS) Program (budgeted through FY 09) but retain FY 04 funds associated with certain development costs, service unique requirements, and congressional mandates.</p>	<p>Completion dependent on FYE or expenditure of \$4.3 million, which ever comes first.</p>	<p>Navy</p>

Report Number/Title/Date	Description of Action	Reason Action Not Completed	Principle Action Office
D-2003-062 , Processing General Services Administration Rent Bills for DoD Customers in the National Capital Region, 3/12/2003	Resolve all outstanding differences between rent payments collected from DoD customers and amounts remitted to the General Services Administration to ensure that authorized rent payments are properly accounted for and collected amounts are remitted to the General Services Administration.	Corrective actions are on target.	DFAS, WHS
D-2003-064 , Report on Accountability and Control Of Materiel at the Warner Robin Air Logistics, 3/20/2003	The Air Force is completing physical inventory, reconciling with the Wholesale and Retail Shipping System, and tuning in any excess materials to supply. In addition, the Air Force will issue a policy directive to ensure proper supply discipline and use of material metrics in monthly material reviews.	Air Force status response has been delayed.	AF
D-2003-066 , Controls Over the Use and Protection of Social Security Numbers Within DoD, 3/21/2003	An SOP will be developed to provide guidance and procedures for the protection and release of privacy data.	Time needed to transfer DSS security investigation function to OPM.	USD(I)
D-2003-067 , Recoveries of Prior Year Obligations, 3/21/2003	Revise the DoD Financial Management Regulation to be consistent with recovery reporting guidance issued by the OMB and the Department of the Treasury; and program the DFAS accounting systems to properly capture, record, and report recoveries of prior year obligations.	Implementation of the Systems Change Request is contingent upon Business Management Modernization Program approval.	USD(C), DFAS
D-2003-072 , DoD Compliance with the Uniformed and Overseas Citizens Absentee Voting Act, 3/31/2003	Army and Air Force are revising their voting assistance program regulations to be consistent with DoDD 1000.4, ensuring that the importance of a Service voting assistance program is emphasized at all levels of command, and working to improve oversight of their voting assistance programs.	Significant time required to develop guidance.	Army, Navy, AF

Acronyms:

AF	Air Force
ASD(HA)	Assistant Secretary of Defense (Health Affairs)
ASD(NII)	Assistant Secretary of Defense (Networks Information Integration)
CAP	Civil Air Patrol
DAM	Director, Administration and Management
DTASD(C/BD)	Deputy Assistant to the Secretary of Defense for Chemical/Biological Defense
DCC-W	Defense Contracting Command - Washington
DCMA	Defense Contract Management Agency
DeCA	Defense Commissary Agency
DFAS	Defense Finance and Accounting Service
DIA	Defense Intelligence Agency
DISA	Defense Information Systems Agency
DLA	Defense Logistics Agency
DSS	Defense Security Service
DSCA	Defense Security Cooperation Agency
DTRA	Defense Threat Reduction Agency
EUCOM	European Command
JS	Joint Staff
MC	Marine Corps
NGA	National Geospatial-Intelligence Agency
NGB	National Guard Bureau
NRO	National Reconnaissance Office
NSA	National Security Agency
PACOM	Pacific Command
TRANSCOM	Transportation Command
SOCOM	Special Operations Command
SOUTHCOM	Southern Command
USD(AT&L)	Under Secretary of Defense for Acquisition, Technology and Logistics
USD(C)	Under Secretary of Defense (Comptroller)
USD(I)	Under Secretary of Defense (Intelligence)
USD(P)	Under Secretary of Defense for Policy
USD(P&R)	Under Secretary of Defense for Personnel and Readiness
USFK	United States Forces - Korea
WHS	Washington Headquarters Service