PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Yakabod Case Management

2. DOD COMPONENT NAME:

Department of Defense Inspector General

3. PIA APPROVAL DATE:

04/24/2025

Mission Support Team, Office of the Chief Information Officer

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: *(Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)*

- ☐ From members of the general public
- ☒ From Federal employees
- ☐ from both members of the general public and Federal employees
- ☐ Not Collected *(if checked proceed to Section 4)*

b. The PII is in a: *(Check one.)*

- ☒ New DoD Information System
- ☐ New Electronic Collection
- ☐ Existing DoD Information System
- ☐ Existing Electronic Collection
- ☐ Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

Yakabod is a case management software that monitors and records access controls. It provides analytics and record data for personnel security and insider threats. Authorized users enter an employee's full name, social security number (SSN), and DoD ID number. When a case is created, Yakabod will store additional PII, such as directorate/office, grade/rank, security clearance, job title, date of birth, and case details.

d. Why is the PII collected and/or what is the intended use of the PII? *(e.g., verification, identification, authentication, data matching, mission-related use, administrative use)*

Yakabod is intended to track personnel secuiryt and identify threats to DoD OIG resources and assets. Yakabod tracks referrals of potential insider threats and provides statistical reports to meet reporting requirements.

e. Do individuals have the opportunity to object to the collection of their PII? ☐ Yes ☒ No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

Employees are required to sign IG Form 21, Network Access User Agreement, prior to being assigned access to a DoD OIG device or information system. This completed agreement provides employees notice of routine monitoring and interception. As such, once an employee consents, they do not have further opportunity to decline the mandatory collection of PII on a government device.

f. Do individuals have the opportunity to consent to the specific uses of their PII? ☐ Yes ☒ No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Employees consent to warning banners when logging onto a DoD OIG device or information systems. The banners inform the users that government property and systems are for authorized use only and that all activities are subject to government monitoring. Employees have no reasonable expectation of privacy while using a government device or doing business with/for the government.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. *(Check as appropriate and provide the actual wording.)*

- ☐ Privacy Act Statement
- ☐ Privacy Advisory
- ☒ Not Applicable

DoD OIG devices and information systems have the applicable warning banner which informs the user of the mandatory monitoring and interception. Yakabod does not collect information directly from the individual. It stores and maintains information in accordance with personnel security and insider threat policies.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component?

(Check all that apply)

☒ Within the DoD Component	Specify.	DoD OIG employees with an established need-to-know.
☒ Other DoD Components *(i.e. Army, Navy, Air Force)*	Specify.	DoD Insider Threat Management and Analysis Center, Office of the Secretary of Defense and Joint Staff, members of DoD law enforcement or auditing agencies, military departments and combatant commands, and Defense agencies.
☐ Other Federal Agencies *(i.e. Veteran's Affairs, Energy, State)*	Specify.	
☐ State and Local Agencies	Specify.	
☒ Contractor *(Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)*	Specify.	Forcepoint Federal LLC - HC108420F0336.
☐ Other *(e.g., commercial providers, colleges).*	Specify.	

i. Source of the PII collected is: *(Check all that apply and list all information systems if applicable)*

- ☐ Individuals
- ☒ Existing DoD Information Systems
- ☐ Other Federal Information Systems
- ☐ Databases
- ☐ Commercial Systems

Information is entered or imported into Yakabod by an authorized user. Users retrieve information from the Defense Information System for Security (DISS), Active Directory, DefenseReady, LexisNexis, User Activity Monitoring, and DITMAC.

j. How will the information be collected? *(Check all that apply and list all Official Form Numbers if applicable)*

- ☒ E-mail
- ☐ In-Person Contact
- ☐ Fax
- ☒ Information Sharing - System to System
- ☐ Other *(If Other, enter the information in the box below)*
- ☒ Official Form (*Enter Form Number(s) in the box below)*
- ☐ Paper
- ☐ Telephone Interview
- ☐ Website/E-Form

IG Form 21, Network Access User Agreement.

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

☒ Yes ☐ No

If "Yes," enter SORN System Identifier DUSDI 01-DoD

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or http://dpcld.defense.gov/Privacy/SORNs/

or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date.

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority. General Records Schedule 5-6.

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statue or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

1) 5 U.S.C. 407, Inspector General Act of 1978, as amended.
2) Executive Order (EO) 10450, Security Requirements for Government Employment, as amended.
3) E.O. 13587, Structural Reforms to Improve the Security of Classified Networks and the Responsible Sharing and Safeguarding of Classified Information.
4) Presidential Memorandum, National Insider Threat Policy and Minimum Standards for Executive Branch Insider Threat Programs, released November 21, 2012.
5) DoD 5200.2-R, DoD Personnel Security Program.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☐ Yes ☒ No ☐ Pending

(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."
(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

An OMB control number is not required as Yakabod does not collect information directly from members of the public.